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The search for the ideal paradigm for detecting and treating Barrett's esophagus and Esophageal cancer

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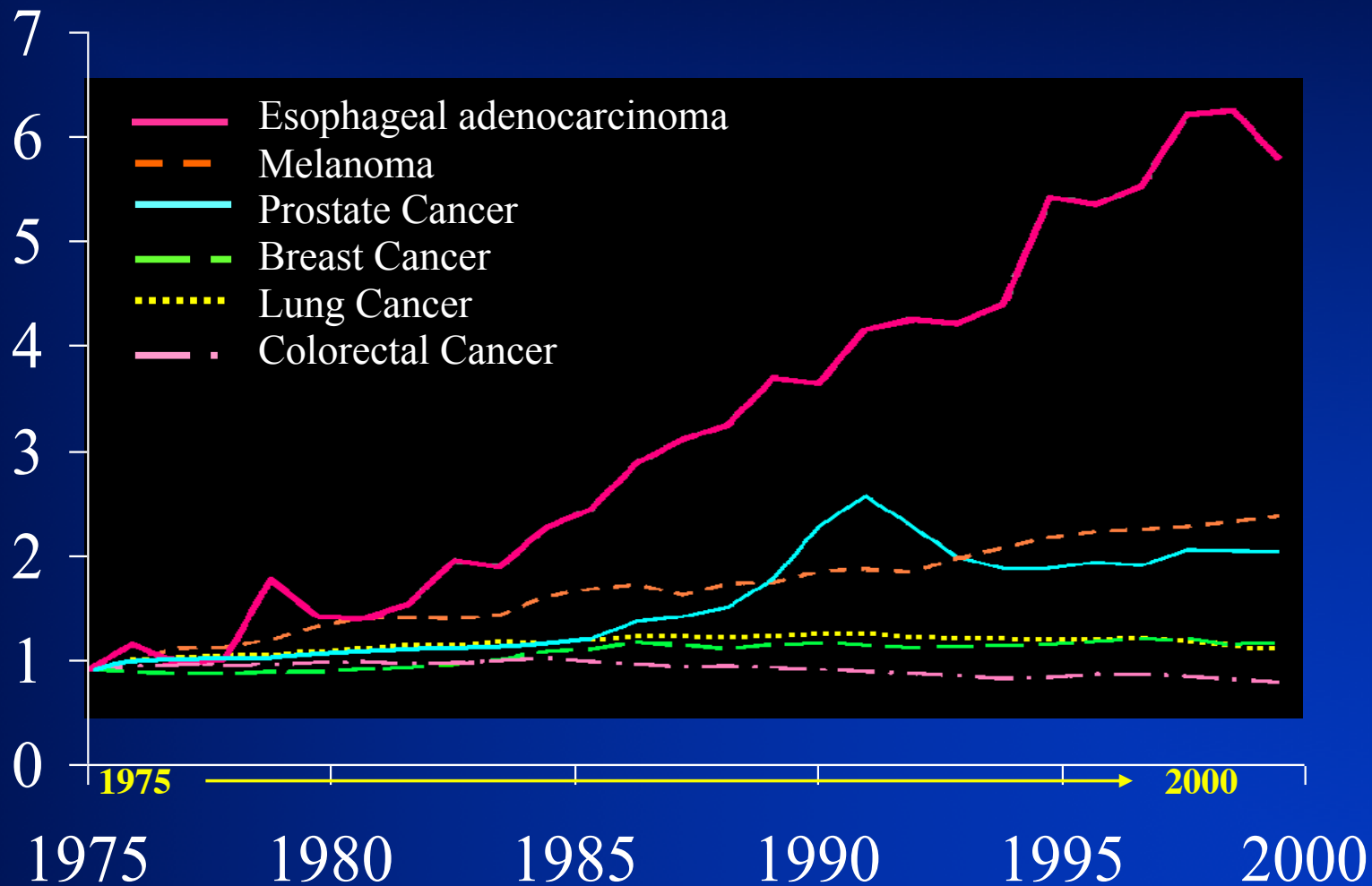
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Esophageal Cancer - Worldwide

- Statistics in 2012 (World-wide)
 - ~456,300 new cases
 - ~410,400 deaths
- 5 year-survival rate: 19%
 - ~> 50% invasive or metastatic at diagnosis
- 7th most common tumor worldwide
- In the US in 2016, 16,910 estimated new esophageal cancer cases and 15,690 deaths

U.S. Esophageal Adenocarcinoma Rise

Rate ratio (relative to 1975)



Esophageal Cancer: A Dismal Prognosis

	INCIDENCE* 2008-2012	MORTALITY 2008-2012	5-YEAR SURVIVAL (%) 2005-2011
Esophageal Cancer (all types)	4.4	4.2	17.9
Breast Cancer (females only)	124.8	21.9	89.4
Melanoma	21.6	2.7	91.5
Prostate Cancer	62.7	8.5	98.9

ESOPHAGEAL CANCER 2015 Estimates²	NEW CASES 16,980	DEATHS 15,590
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*Incidence rates are per 100,000 and are age-adjusted to the 2000 US Std Population

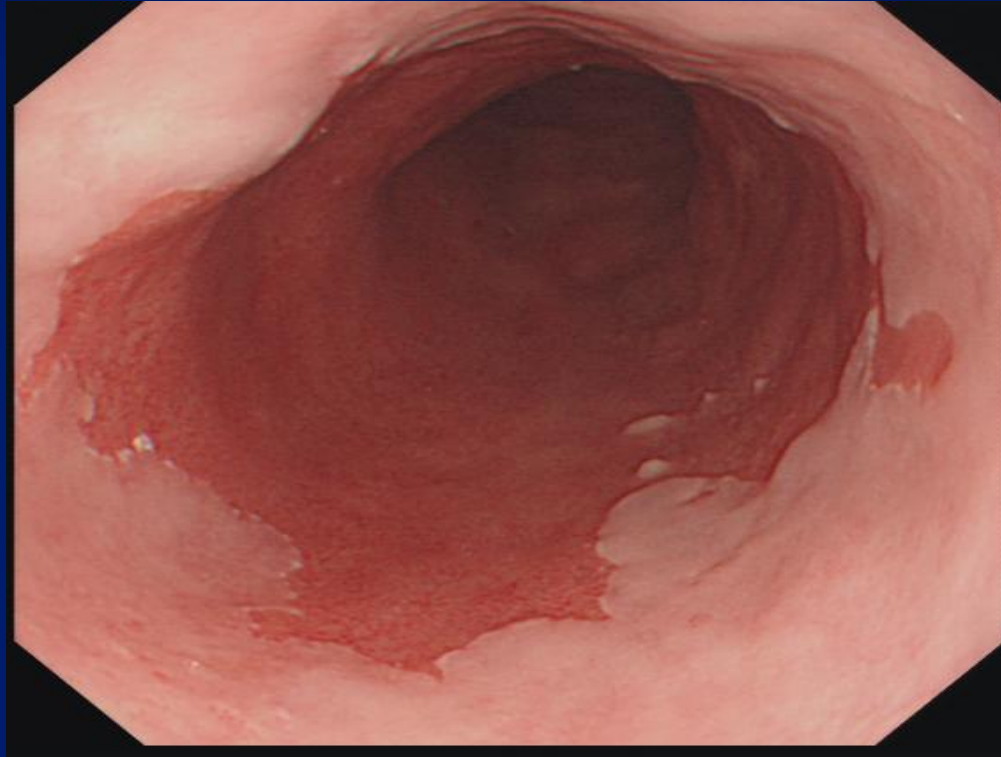
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http://seer.cancer.gov/csr/1975_2012/results_single/sect_01_table.05_2pgs.pdf

2. SEER Cancer Statistics Factsheets: Esophageal Cancer. National Cancer Institute. Bethesda, MD,

<http://seer.cancer.gov/statfacts/html/esoph.html>

Barrett's Esophagus



..the condition in which any extent of metaplastic columnar epithelium that predisposes to cancer development replaces the stratified squamous epithelium

Prevalence & Incidence of Barrett's

- 1.6% of Swedish adult population
- 3.5 million Americans (extrapolated)
- 5.6% of US population (based on a SEER data simulation model)
- 13% of a VA population with GERD had Barrett's upon screening endoscopy

Ronkainen, Gastroenterology, 2005

Sampliner, Gastroenterology, 2005

Spechler, Dis Esoph, 2010

Westhoff, GI Endoscopy, 2005

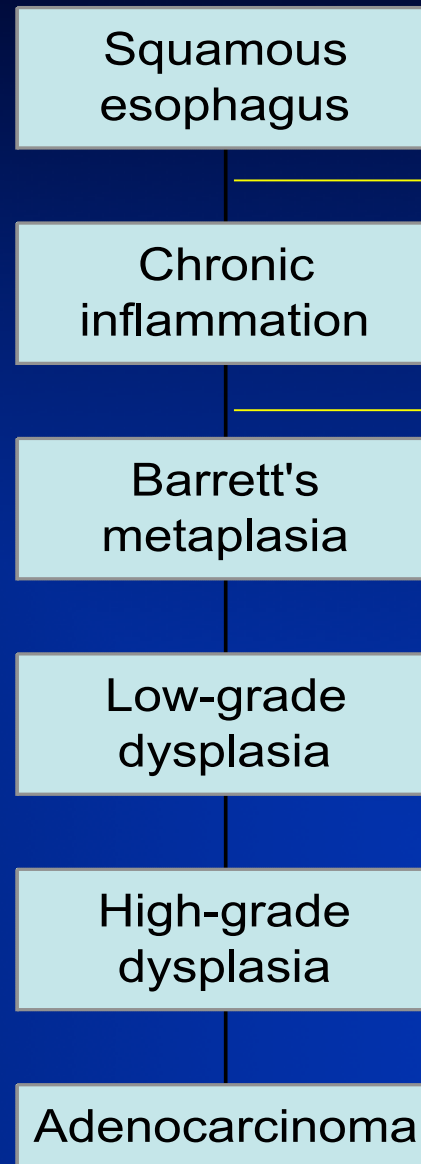
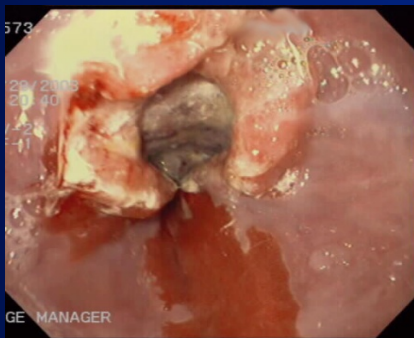
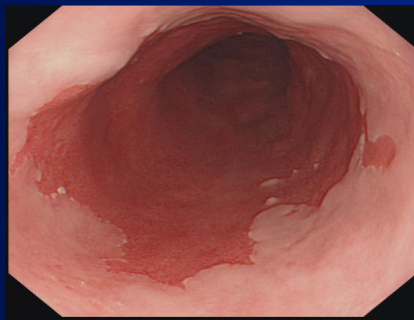
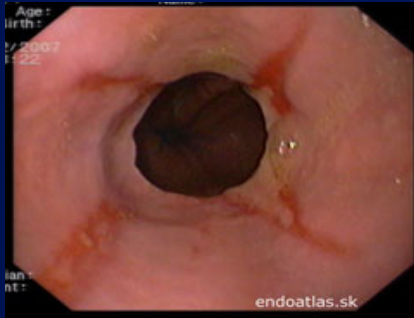
Epidemiology: Barrett's Esophagus



- Mean age is 55
- Caucasian
- Uncommon in Blacks and Asians
- Male: Female 2:1 Barrett's

Pathophysiology

Evolution of Barrett's



Injury:
Acid & bile reflux

Genetics:
Gender, race,
?other factors (cox-2)

Accumulate Genetic Changes

Progression Risk

Risk of Progression

Barrett's Esophagus



Risk of Progression



Clinical Factors that Contribute to Increased Progression Risk

- Male
- Caucasian
- Smoker
- Obese
- Family history
- Length of Barrett's
- Size of hiatal hernia
- Duration of Barrett's
- Young Age

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Lagergren, Ann Intern Med, 1999

Jung, Am J Gastroenterol, 2011

Non-Dysplastic BE Progression to Cancer in Several Large 2010/11 Studies Averaged .29% per Year

Esophagus

Risk of malignant progression in patients with Barrett's oesophagus: a Dutch nationwide cohort study

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Background Reported incidence rates of oesophageal adenocarcinoma (OAC) in Barrett's oesophagus (BE) vary widely. As the effectiveness of BE surveillance is crucially dependent on this rate, its clarification is essential.

Methods To estimate the rate of malignant progression in patients with BE, all patients with a first diagnosis of BE with no dysplasia (ND) or low-grade dysplasia (LGD) between 1991 and 2008 were identified in the Dutch nationwide registry of histopathology (PALGA). Follow-up data were available up to November 2007.

Results 42,207 patients with BE were included, 4132 (9%) of them had LGD. The evaluation endoscopies at least 6 months after initial diagnosis were performed in 16,365 patients (39%). who were significantly younger than those not so examined (58.12 vs 62.36 years, $P < 0.001$). These patients were followed up for a total of 7813 person-years, during which 606 (6%) high-grade dysplasia (HGD)/OAC occurred, affecting 4% of the surveillance patient population (mean age 69 ± 12 years, 79% male). After excluding HGD/OAC cases detected within 1 year after BE diagnosis ($n = 212$, 32%), incidence rates per 1000 person-years were 4.2 (95% CI 3.4 to 5.9) for OAC and 5.8 (95% CI 4.6 to 7.0) for HGD/OAC combined. Risk factors for HGD/OAC were increased age (age >75 years HR 1.2, 95% CI 1.0 to 1.8), male sex (OR 1.1, 95% CI 1.0 to 1.2).

Conclusion In this largest reported cohort of unselected patients with BE, the annual risk of OAC was 0.4%. Male sex, older age and LGD at diagnosis are independent predictors of malignant progression and should enable an improved risk assessment in BE.

INTRODUCTION

Barrett's oesophagus (BO) is an acquired condition, in which the squamous epithelium lining the distal oesophagus is replaced by columnar intestinal-type mucosa. It is considered to be a complication of chronic gastro-oesophageal reflux and constitutes the prime risk factor for oesophageal adenocarcinoma (OAC).¹⁻³ OAC usually has a poor prognosis, with a 5-year survival rate of <10%.⁴ Hence, in order to detect early-stage cancers suitable for curative treatment, surveillance endoscopy of patients with BO is advised, at intervals dictated by the absence or presence and grade of dysplasia.⁵

The effectiveness of surveillance of BO is however, equivocal. Increased survival has been observed in patients with OAC, enrolled in BO

surveillance programmes. This may have resulted from early detection of cancers. On the other hand, this effect may also have resulted from lead-time bias, as, in particular, young patients without concomitant diseases were included in surveillance programmes.⁶⁻¹¹ In addition, most patients with BO die from unrelated causes, as according to a cohort follow-up study from our department only 3.6% of total mortality in BO patients was related to OAC.¹² Moreover, some patients may not be fit for surgery even if OAC is detected at an early stage.¹³

A primary determinant of cost-effectiveness of BO surveillance strategies is the risk of BO progressing to OAC.¹⁴⁻¹⁶ Unfortunately, published estimates of the annual risk of cancer in patients with BE are highly heterogeneous, ranging from 0% to 2.9% per annum.¹⁷ These estimates were based primarily on patients referred to tertiary centres, whose cancer risk may exceed that for patients managed on non-referral centres. Moreover, published data predominantly come from small retrospective cohort studies with relatively short follow-up showing higher cancer incidence than may be observed in large surveillance studies.

Prior to 2000, the incidence of OAC in BO had been widely assumed to be higher. However, that analysis did not account for the presence of baseline

Prevalence of oesophageal adenocarcinoma in Barrett's oesophagus: a meta-analysis

Imar Krishnan,² Niharika Samal,¹ Jashanpreet Singh,¹ ish Paril,³ Colin W Howden²

Background Oesophageal adenocarcinoma (OAC) in Barrett's oesophagus (BO) may be essential. As the effectiveness of BO surveillance is primarily dependent on this rate, its clarification is essential.

Methods Large-scale and long-term follow-up studies of unselected patients with BO are lacking.

What are the new findings? In this large nationwide cohort of unselected patients with histologically confirmed BO, the annual risk of oesophageal adenocarcinoma (OAC) was 0.4%.

Conclusion The annual OAC risk decreased to 0.14% in case cancer risk for all BO patients was analysed, regardless of whether surveillance endoscopy was performed.

Male sex, older age and low-grade dysplasia at initial diagnosis of BO are independent predictors of malignant progression.

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adenocarcinoma among Patients with Barrett's Esophagus

J Pedersen, Ph.D., Ashbjørn Mohr Drewes, M.D., Dr. Med. Sci., Dr. Med. Sci., and Peter Funch-Jensen, M.D., Dr. Med. Sci.

ABSTRACT

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Barrett's Esophagus Have Low Risks for Phageal Adenocarcinoma

J. SERRINAS GAZDAR,¹ AMY WANG,¹ NEEL GUPTA,¹ MANDEEP SINGH,¹ BOOLCHAND JHEMANTHI GAVINI,¹ JOHN KUCZYNSKI,¹ PIRITH SURI,¹ ASTOLIO SHARAD C. MATHUR,¹ PATRICK YOUNG,¹ BIRONKS CASH,¹ T.F. and PRATEEK SHARMA²

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medical education activity on page e26. Learning Objectives—At the end of the session, participants should be able to: 1. appreciate the risk factors for progression to esophagus; and recognize the wide variability in the previous reporting of

Barrett's esophagus (BE), a known complication of chronic gastro-oesophageal reflux disease, is a well established premalignant lesion for oesophageal and gastroesophageal adenocarcinoma.^{1,2} Approximately 10% to 15% of patients with chronic gastro-oesophageal reflux disease are diagnosed with BE. In addition, BE has been reported in patients with no reflux symptoms.³ The risk of esophageal adenocarcinoma (EAC) is increased 30 to 40 times among patients with BE compared with those without this condition. EAC continues to increase at a rate greater than any other cancer in the Western world (C>500 since the 1970s), exceeding that of other more common cancers such as breast, colon, lung, and prostate cancer.⁴ In 2009, it is estimated that 16,470 new cases of esophageal cancer will be diagnosed in the United States, of which close to 60% will be adenocarcinoma.⁵ Despite all the recent advances in the diagnosis and management of this lethal cancer, the overall 5-year survival rate remains dismal (15%-20%).⁶

Although not evaluated in randomized controlled trials, surveillance of patients with BE is recommended by all major gastroenterology societies and published guidelines.^{7,8} Multiple observational studies suggest that endoscopic surveillance is associated with detection of EAC at an earlier stage along with improved survival.⁹ However, the burden of endoscopic surveillance of BE patients is significant and continues to generate a great deal of controversy.¹⁰⁻¹² In addition, there has been a lot of interest in the endoscopic ablation of nondysplastic BE (NDBE). The true incidence of EAC in patients with BE is central to determining the effectiveness of surveillance endoscopy or any intervention strategy. The exact incidence of EAC

Prior to 2000, the incidence of OAC in BO had been widely assumed to be higher. However, that analysis did not account for the presence of baseline

of surveillance is dependent on the risk of progression of BE to cancer (8-10). However, a wide variation in the incidence of esophageal adenocarcinoma in BE has been observed, ranging from 0% to 4.5% per annum (11,12). Also, it is not currently known whether the rate of progression of BE to esophageal adenocarcinoma varies with time from diagnosis of BE. Change in risk over time has implications regarding both the need for, and the frequency, of endoscopic surveillance.

The aim of this study was to examine the risk of adenocarcinoma or high-grade dysplasia in a large cohort of unselected BE patients. The risk of cancer or high-grade dysplasia was estimated using both the British definition of BE, that is, columnar-lined early detection of dysplasia or cancer (6,7). The cost-effectiveness

in Barrett's Esophagus Patients: Ion-Based Study

Johanston, Darnan T. McManus, Anna T. Gavin, Liam J. Murray

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ion-based study. We examined the risk of esophageal adenocarcinoma in Barrett's esophagus patients identified by matching the NBSR with death registers of BE worldwide, which includes every adult diagnosed > 2005.

and as columnar lined epithelium of the esophagus with or without BE. In patients with BE, the combined incidence was 0.38% per year or statistically significantly elevated in patients with vs without (0.7% per year; hazard ratio [HR] = 3.54, 95% CI = 2.00 to 6.00, $P < .001$). In year vs 0.12% per year; HR = 2.11, 95% CI = 1.41 to 3.16, $P < .001$), compared with no dysplasia (1.40% per year vs 0.17% per year; HR =

9 patients were diagnosed with esophageal cancer, 16 with cancer of the esophagus. In the entire cohort, incidence of esophageal or gastric adenocarcinoma was 0.22% per year (95% confidence interval [CI] = 0.19% to 0.25%). In patients with BE, the combined incidence was 0.38% per year or statistically significantly elevated in patients with vs without (0.7% per year; hazard ratio [HR] = 3.54, 95% CI = 2.00 to 6.00, $P < .001$). In year vs 0.12% per year; HR = 2.11, 95% CI = 1.41 to 3.16, $P < .001$), compared with no dysplasia (1.40% per year vs 0.17% per year; HR =

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Keywords: Barrett's Esophagus; Dysplasia; Esophageal Adenocarcinoma; Esophageal Cancer; Screening; Surveillance; Prevention.

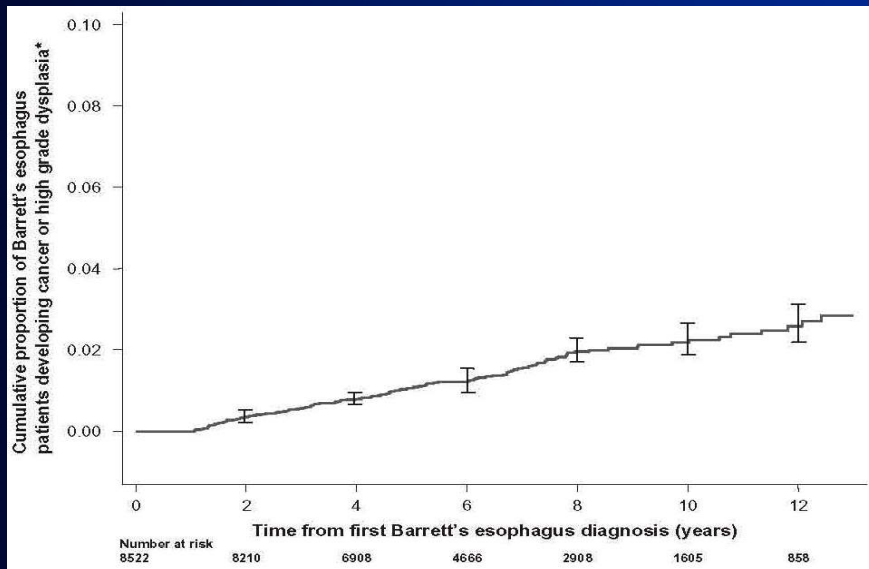
de Jonge, Gut, 2010
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Bhat, J Natl Cancer Inst, 2011

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CLE/IM Progression to HGD/EAC

(Bhat, JNCI, 2011)

- Population-based study (Northern Ireland Barrett's Register or NIBR) from 1993 to 2005
- 8522 IM pts were followed for a mean of 7 yrs
- "Results from the NIBR demonstrate a constant risk of progression to cancer over time."



Risk of Malignant Progression in Barrett's Esophagus Patients: Results from a Large Population-Based Study

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Manuscript received October 7, 2010; revised May 9, 2011; accepted May 9, 2011.

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Background

Barrett's esophagus (BE) is a premalignant lesion that predisposes to esophageal adenocarcinoma. However, the reported incidence of esophageal adenocarcinoma in patients with BE varies widely. We examined the risk of malignant progression in patients with BE using data from the Northern Ireland Barrett's esophagus Register (NIBR), one of the largest population-based registries of BE worldwide, which includes every adult diagnosed with BE in Northern Ireland between 1993 and 2005.

Subjects and Methods

We followed 8522 patients with BE, defined as columnar lined epithelium of the esophagus with or without specialized intestinal metaplasia (SIM), until the end of 2008. Patients with incident adenocarcinomas of the esophagus or gastric cardia or with high-grade dysplasia of the esophagus were identified by matching the NIBR with the Northern Ireland Cancer Registry, and deaths were identified by matching with records from the Registrar General's Office. Incidence of cancer outcomes or high-grade dysplasia was calculated as events per 100 person-years (% per year) of follow-up, and Cox proportional hazard models were used to determine incidence by age, sex, length of BE segment, presence of SIM, macroscopic BE, or low-grade dysplasia. All *P* values were from two-sided tests.

Results

After a mean of 7.0 years of follow-up, 79 patients were diagnosed with esophageal cancer, 16 with cancer of the gastric cardia, and 36 with high-grade dysplasia. In the entire cohort, incidence of esophageal or gastric cardia cancer or high-grade dysplasia combined was 0.22% per year (95% confidence interval [CI] = 0.19% to 0.26%). SIM was found in 46.0% of patients. In patients with SIM, the combined incidence was 0.38% per year (95% CI = 0.31 to 0.46%). The risk of cancer was statistically significantly elevated in patients with vs without SIM at index biopsy (0.38% per year vs 0.07% per year; hazard ratio [HR] = 3.54, 95% CI = 2.09 to 6.00, *P* < .001), in men compared with women (0.28% per year vs 0.13% per year; HR = 2.11, 95% CI = 1.41 to 3.16, *P* < .001), and in patients with low-grade dysplasia compared with no dysplasia (1.40% per year vs 0.17% per year; HR = 5.67, 95% CI = 3.77 to 8.53, *P* < .001).

Conclusion

We found the risk of malignant progression among patients with BE to be lower than previously reported, suggesting that currently recommended surveillance strategies may not be cost-effective.

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The incidence of esophageal adenocarcinoma is rising in the United States and Europe (1,2). Despite general improvements in cancer survival in most countries, patients with esophageal adenocarcinoma have a poor prognosis, with fewer than 20% surviving for 5 years (3,4). Barrett's esophagus (BE) is the metaplastic transformation of the native esophageal squamous epithelium into columnar epithelium in response to gastroesophageal reflux. Patients with BE, a known precursor to esophageal adenocarcinoma, are estimated to carry a 30- to 60-fold increased risk of developing esophageal adenocarcinoma (5).

Endoscopic surveillance of BE is the currently accepted standard of care and aims to reduce morbidity and mortality through early detection of dysplasia or cancer (6,7). The cost-effectiveness

of surveillance is dependent on the risk of progression of BE to cancer (8-10). However, a wide variation in the incidence of esophageal adenocarcinoma in BE has been observed, ranging from 0% to 3.5% per annum (11,12). Also, it is not currently known whether the rate of progression of BE to esophageal adenocarcinoma varies with time from diagnosis of BE. Change in risk over time has implications regarding both the need for, and the frequency of, endoscopic surveillance.

The aim of this study was to examine the risk of adenocarcinoma or high-grade dysplasia in a large cohort of unselected BE patients. The risk of cancer or high-grade dysplasia was examined using both the British definition of BE, that is, columnar lined epithelium of the esophagus (CLE) and the American definition of

Patients With Nondysplastic Barrett's Esophagus Have Low Risks for Developing Dysplasia or Esophageal Adenocarcinoma

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This article has an accompanying continuing medical education activity on page e26. Learning Objectives—At the end of this activity, the learner will appreciate that the rate of progression to low-grade dysplasia is much higher than the incident rate per year for esophageal cancer for Barrett's esophagus; appreciate the risk factors for progression to esophageal cancer in patients with Barrett's esophagus; and recognize the wide variability in the previous reporting of progression of Barrett's esophagus to cancer.

See editorial on page 194.

BACKGROUND & AIMS: The risks of dysplasia and esophageal adenocarcinoma (EAC) are not clear for patients with nondysplastic Barrett's esophagus (NDBE); the rate of progression has been overestimated in previous studies. We studied the incidences of dysplasia and EAC and investigated factors associated with progression of BE. **METHODS:** The BE study is a multicenter outcomes project of a large cohort of patients with BE. Neoplasia was graded as low-grade dysplasia, high-grade dysplasia (HGD), or EAC. Patients followed up for at least 1 year after the index endoscopy examination were included, whereas those diagnosed with dysplasia and EAC within 1 year of diagnosis with BE (prevalent cases) were excluded. Of 3334 patients with BE, 1204 met the inclusion criteria (93.7% Caucasian; 88% male; mean age, 59.3 y) and were followed up for a mean of 5.52 years (6644.5 patient-years). **RESULTS:** Eighteen patients developed EAC (incidence, 0.27%/y; 95% confidence interval [CI], 0.17–0.43) and 32 developed HGD (incidence, 0.48%/y; 95% CI, 0.34–0.68). The incidence of HGD and EAC was 0.63%/y (95% CI, 0.47–0.86). There were 217 cases of low-grade dysplasia (incidence, 3.6%/y; 95% CI, 3.2–4.1). Five and 10 years after diagnosis, 98.6% (n = 540) and 97.1% (n = 155) of patients with NDBE were cancer free, respectively. The length of the BE was associated significantly with progression (EAC <6 cm, 0.09%/y vs EAC ≥6 cm, 0.65%/y; P = 0.001). **CONCLUSIONS:** There is a lower incidence of dysplasia and EAC among patients with NDBE than previously reported. Because most patients are cancer free after a long-term follow-up period, surveillance intervals might be lengthened, especially for patients with shorter segments of BE.

Keywords: Barrett's Esophagus; Dysplasia; Esophageal Adenocarcinoma; Esophageal Cancer; Screening; Surveillance; Prevention.

Barrett's esophagus (BE), a known complication of chronic gastroesophageal reflux disease, is a well established premalignant lesion for esophageal and gastroesophageal adenocarcinoma.^{1,2} Approximately 10% to 15% of patients with chronic gastroesophageal reflux disease are diagnosed with BE. In addition, BE has been reported in patients with no reflux symptoms.³ The risk of esophageal adenocarcinoma (EAC) is increased 30 to 40 times among patients with BE compared with those without this condition. EAC continues to increase at a rate greater than any other cancer in the Western world (>500% since the 1970s), exceeding that of other more common cancers such as breast, colon, lung, and prostate cancer.⁴ In 2009, it is estimated that 16,470 new cases of esophageal cancer will be diagnosed in the United States, of which close to 60% will be adenocarcinomas.⁵ Despite all the recent advances in the diagnosis and management of this lethal cancer, the overall 5-year survival rate remains dismal (15%–20%).⁶

Although not evaluated in randomized controlled trials, surveillance of patients with BE is recommended by all major gastroenterology societies and published guidelines.^{1,7} Multiple observational studies suggest that endoscopic surveillance is associated with detection of EAC at an earlier stage along with improved survival.^{8,9} However, the burden of endoscopic surveillance of BE patients is significant and continues to generate a great deal of controversy.^{10,11} In addition, there has been a lot of interest in the endoscopic ablation of nondysplastic BE (NDBE). The true incidence of EAC in patients with BE is central to determining the effectiveness of surveillance endoscopy or any intervention strategy. The exact incidence of EAC

Abbreviations used in this paper: BE, Barrett's esophagus; CI, confidence interval; EAC, esophageal adenocarcinoma; HGD, high-grade dysplasia; LGD, low-grade dysplasia; NDBE, nondysplastic Barrett's esophagus; NSAID, nonsteroidal anti-inflammatory drug; PPI, proton pump inhibitor; SD, standard deviation.

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doi:10.1016/j.cgh.2010.11.008

IM Progression to HGD/EAC

(Falk, Sampliner, Sharma et al, CGH, 2011)

- Multi-center outcomes project
- 1204 pts were followed for a mean of 5.5 yrs
- 2.9% of IM pts developed cancer in 10 yrs
- 7.3% of IM pts developed HGD or cancer in 10 yrs

LGD Progression to EAC (Curvers, *Am J Gastro*, 2010)

- Population-based study (Amsterdam Gastroenterological Association Barrett's Registry) from 2000 to 2006
- Histology reports from six community hospitals were reviewed by two expert GI pathologists
- 1,198 pts diagnosed with BE
- 121 pts diagnosed with LGD & had f/u bxs
- 19 pts had a consensus dx of LGD
- LGD pts had a 13.4% annual progression risk for HGD or EAC
- 10.5% LGD pts developed cancer in an average f/u of just over 3 yrs

Low-Grade Dysplasia in Barrett's Esophagus: Overdiagnosed and Underestimated

Wouter L. Curvers, MD^{1,12}, Fiebo J. ten Kate, MD, PhD^{2,12,13}, Kausilia K. Krishnadath, MD, PhD^{1,12}, Mike Visser, MD, PhD^{2,13}, Brenda Elzer, MSc¹, Lubertus C. Baak, MD, PhD^{3,12}, Clarisse Bohmer, MD, PhD^{4,12}, Rosalie C. Mallant-Hent, MD, PhD^{5,12}, Arnout van Oijen, MD^{6,12}, Anton H. Naber, MD, PhD^{7,12}, Pieter Scholten, MD^{8,12}, Olivier R. Busch, MD, PhD^{9,13}, Harriët G.T. Blaauwgeers, MD, PhD^{10,13}, Gerrit A. Meijer, MD, PhD^{11,13} and Jacques J.G.H.M. Bergman, MD, PhD^{1,12,13}

- OBJECTIVES:** Published data on the natural history of low-grade dysplasia (LGD) in Barrett's esophagus (BE) are inconsistent and difficult to interpret. We investigated the natural history of LGD in a large community-based cohort of BE patients after reviewing the original histological diagnosis by an expert panel of pathologists.
- METHODS:** Histopathology reports of all patients diagnosed with LGD between 2000 and 2006 in six non-university hospitals were reviewed by two expert pathologists. This panel diagnosis was subsequently compared with the histological outcome during prospective endoscopic follow-up.
- RESULTS:** A diagnosis of LGD was made in 147 patients. After pathology review, 85% of the patients were downstaged to non-dysplastic BE (NDBE) or to indefinite for dysplasia. In only 15% of the patients was the initial diagnosis LGD. Endoscopic follow-up was carried out in 83.6% of patients, with a mean follow-up of 51.1 months. For patients with a consensus diagnosis of LGD, the cumulative risk of progressing to high-grade dysplasia or carcinoma (HGD or Ca) was 85.0% in 109.1 months compared with 4.6% in 107.4 months for patients downstaged to NDBE ($P < 0.0001$). The incidence rate of HGD or Ca was 13.4% per patient per year for patients in whom the diagnosis of LGD was confirmed. For patients downstaged to NDBE, the corresponding incidence rate was 0.49%.
- CONCLUSIONS:** LGD in BE is an overdiagnosed and yet underestimated entity in general practice. Patients diagnosed with LGD should undergo an expert pathology review to purify this group. In case the diagnosis of LGD is confirmed, patients should undergo strict endoscopic follow-up or should be considered for endoscopic ablation therapy.

Am J Gastroenterol advance online publication, 11 May 2010; doi:10.1038/ajg.2010.171

INTRODUCTION

Barrett's esophagus (BE) is a condition that is induced by chronic tissue injury and inflammation due to gastroesophageal reflux. The clinical finding of BE is replacement of the squamous epithelial lining of the distal esophagus with a columnar epithelium containing goblet cells (specialized intestinal metaplasia). Patients with BE have a significantly increased risk for developing esophageal adenocarcinoma over that of the general popula-

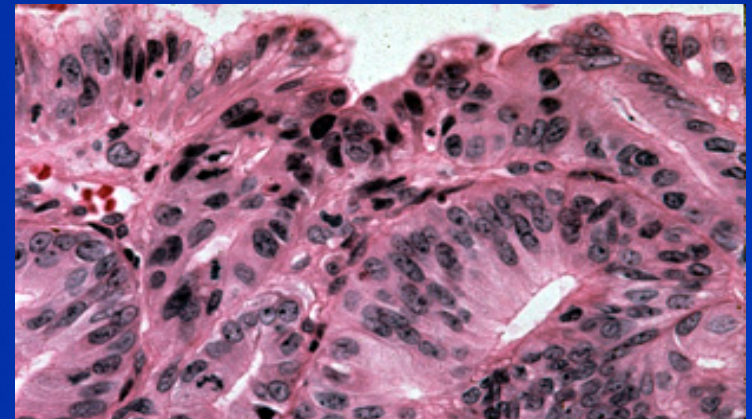
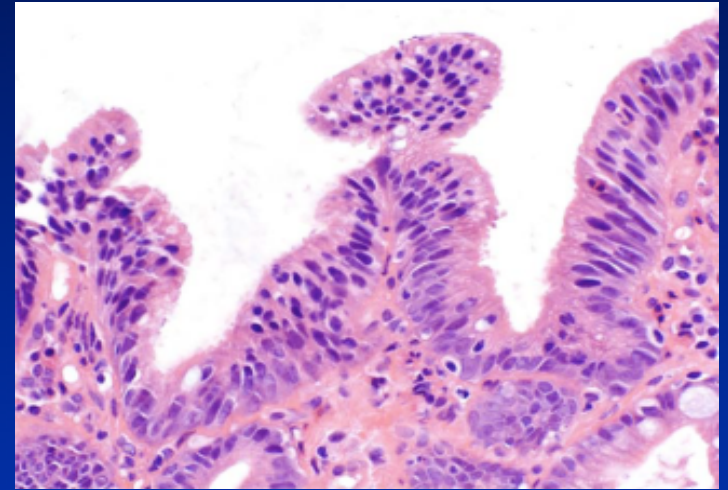
tion (~100x) (1). This malignancy has a dismal prognosis with an all-stage 5-year survival of ~15% (2,3). Neoplastic progression from non-dysplastic BE (NDBE) to esophageal adenocarcinoma is considered to be a multistep process that is associated with increasing (epi)genetic abnormalities, which are accompanied by morphological changes including atypia, loss of cellular differentiation, distributed loss of tissue architecture, and ultimately invasion (4–7). This continuous spectrum of changes is stratified

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LGD in Barrett's Esophagus Has a High Risk of Progression When Confirmed by a Panel of Expert Pathologists

(Duits, Gut 2015)

- Prospective study with 293 LGD pts diagnosed in the community
- Pathology reviewed by expert GI panel
- 73 patients had confirmed LGD (27%)
- 9 patients upstaged to HGD/EAC (2%)
- When disease confirmed, 9.1% annual progression to HGD/EAC
- 5 year risk of HGD/EAC: 40%
- “The results indicate that patients with a confirmed diagnosis of LGD in BE have a markedly increased risk for progression to HGD/EAC.”



Confirmed LGD

INCREASED RISK OF PROGRESSION

N	STUDY TYPE	PROGRESSION TO HGD/EAC [†]	STUDY
127	RCT	13.6% (annual rate of progression)	Shaheen et al. 2009
147	Prospective	13.4% (per patient-year)	Curvers et al. 2010
293	Retrospective	9.1% (per-patient year)	Duits et al. 2014
85	Prospective	9% (annual rate of progression)	Clark et al. 2014
136	RCT	11.8% (per patient-year)	Phoa et al. 2014
125	Retrospective	6.6% (annual rate of progression per Kaplan-Meier method), 14.8% first year	Small et al. 2015

Radiofrequency Ablation vs Endoscopic Surveillance for Patients With Barrett Esophagus and Low-Grade Dysplasia

A Randomized Clinical Trial **FREE**

K. Nadine Phoa, MD¹; Frederike G. I. van Milsteren, MD¹; Bas L. A. M. Weusten, MD²; Raf Bisschops, MD³; Erik J. Schoon, MD⁴; Krish Ragunath, MD⁵; Grant Fullarton, MD⁶; Massimiliano Di Pietro, MD⁷; Narayanasamy Ravi, MD⁸; Mike Visser, MD⁹; G. Johan Offerhaus, MD⁹; Cees A. Seldenrijk, MD¹⁰; Sybren L. Meijer, MD⁹; Fiebo J. W. ten Kate, MD⁹; Jan G. P. Tijssen, PhD¹¹; Jacques J. G. H. M. Bergman, MD, PhD¹

Upper GI cancer

ORIGINAL ARTICLE

Barrett's oesophagus patients with low-grade dysplasia can be accurately risk-stratified after histological review by an expert pathology panel

Lucas C Duits,¹ K Nadine Phoa,¹ Wouter L Curvers,¹ Fiebo J W ten Kate,^{2,3} Gerrit A Meijer,⁴ Cees A Seldenrijk,⁵ G Johan Offerhaus,^{2,3} Mike Visser,² Sybren L Meijer,² Kausilia K Krishnadath,¹ Jan G P Tijssen,⁶ Rosalie C Mallant-Hent,^{1,7} Jacques J G H M Bergman¹

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ORIGINAL CONTRIBUTIONS

The Diagnosis of Low-Grade Dysplasia in Barrett's Esophagus and Its Implications for Disease Progression

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Consensus Statements for Management of Barrett's Dysplasia and Early-Stage Esophageal Adenocarcinoma, Based on a Delphi Process

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Podcast interview: www.gastro.org/gastropodcast. Also available on iTunes. See Covering the Cover synopses on page 275; see editorial on page 282.

BACKGROUND & AIMS: Esophageal adenocarcinoma (EA) is increasingly common among patients with Barrett's esophagus (BE). We aimed to provide consensus recommendations based on the medical literature that clinicians could use to manage patients with BE and low-grade dysplasia, high-grade dysplasia (HGD), or early-stage EA. **METHODS:** We performed an international, multidisciplinary, systematic, evidence-based review of different management strategies for patients with BE and dysplasia or early-stage EA. We used a Delphi process to develop consensus statements. The results of literature searches were screened using a unique, interactive, Web-based data-sifting platform; we used 11,704 papers to

inform the choice of statements selected. An a priori threshold of 80% agreement was used to establish consensus for each statement. **RESULTS:** Eighty-one of the 71 statements achieved consensus despite generally low quality of evidence, including 8 clinical statements: (1) specimens from endoscopic resection are better than biopsies for staging lesions, (2) it is important to carefully map the size of the dysplastic areas, (3) patients that receive ablative or surgical therapy require endoscopic follow-up, (4) high-resolution endoscopy is necessary for accurate diagnosis, (5) endoscopic therapy for HGD is preferred to surveillance, (6) endoscopic therapy for HGD is preferred

Abbreviations used in this paper: BAD CAT, Barrett's dysplasia and cancer task force; BE, Barrett's esophagus; EA, esophageal adenocarcinoma; EMR, endoscopic mucosal resection; HGD, high-grade dysplasia; LGD, low-grade dysplasia; RFA, radiofrequency ablation.

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0016-5082/12/04-0000

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BADCAT Consensus Statement

(Bennett, Gastroenterology, 2012)

- An int'l, multidisciplinary, evidence-based review of BE management strategies using 80% agreement as a threshold for all consensus statements
- “Risk of progression from HGD to cancer is approximately 10% per year.”

Long Segment NDBE Progresses to HGD/EAC at a Significantly Elevated Rate

IM Progression to HGD/EAC by Length

(Anaparthi, Clin Gastroenterol Hepatol, 2013)

- Multi-center outcomes project
- 1175 NDBE pts were followed for a mean of 5.5 yrs
- 28% increase in risk of progression to HGD/EAC per 1 cm increase in length (p<0.001)
- Annual progression risk to HGD/EAC by length (p<0.0018):
 - 0.31%/year for length ≤ 3 cm
 - 0.97 %/year for length 4-6 cm
 - 1.26%/year for length 7-9 cm
 - 1.64%/year for length 10-12 cm
 - 2.41%/year for length ≥ 13 cm

CLINICAL GASTROENTEROLOGY AND HEPATOLOGY 2013;11:1430-1436

Association Between Length of Barrett's Esophagus and Risk of High-grade Dysplasia or Adenocarcinoma in Patients Without Dysplasia

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BACKGROUND & AIMS: It is not clear whether length of Barrett's esophagus (BE) is a risk factor for high-grade dysplasia (HGD) or esophageal adenocarcinoma (EAC) in patients with nondysplastic BE. We studied the risk of progression to HGD or EAC in patients with nondysplastic BE, based on segment length.

METHODS: We analyzed data from a large cohort of patients participating in the BE Study—a multicenter outcomes project comprising 5 US tertiary care referral centers. Histologic changes were graded as low-grade dysplasia, HGD, or EAC. The study included patients with BE of documented length without dysplasia and at least 1 year of follow-up evaluation (n = 1175; 88% male), and excluded patients who developed HGD or EAC within 1 year of their BE diagnosis. The mean follow-up period was 3.5 y (6463 patient-years). The annual risk of HGD and EAC was plotted in 3-cm increments (≤ 3 cm, 4–6 cm, 7–9 cm, 10–12 cm, and ≥ 13 cm). We calculated the association between time to progression and length of BE.

RESULTS: The mean BE length was 3.6 cm; 44 patients developed HGD or EAC, with an annual incidence rate of 0.67%/y. Compared with nonprogressors, patients who developed HGD or EAC had longer BE segments (6.1 vs 3.5 cm; $P < .001$). Logistic regression analysis showed a 28% increase in risk of HGD or EAC for every 1-cm increase in BE length ($P = .01$). Patients with BE segment lengths of 3 cm or shorter took longer to develop HGD or EAC than those with lengths longer than 4 cm (6 vs 4 y; $P =$ nonsignificant).

CONCLUSIONS: In patients with BE without dysplasia, length of BE was associated with progression to HGD or EAC. The results support the development of a risk stratification scheme for these patients based on length of BE segment.

Keywords: BEST Study; Esophageal Cancer; Screening; Surveillance; Intestinal Metaplasia.

Esophageal adenocarcinoma (EAC) is the most rapidly increasing incident cancer in the Western world, with a dismal 5-year survival rate of less than 20%.¹ Barrett's esophagus (BE), a well-established premalignant condition for EAC, is characterized by metaplastic transformation of squamous to columnar-type epithelium containing goblet cells (intestinal metaplasia) on histologic evaluation.^{2,3} The progression to adenocarcinoma is believed to occur through a sequence of changes involving nondysplastic BE (NDBE), low-grade dysplasia (LGD), and high-grade dysplasia (HGD), before final progression to EAC.⁴

At present, the degree of dysplasia remains the most widely used risk-stratification tool for determining surveillance intervals and the management of patients with BE.⁵ Upper gastrointestinal endoscopy with random 4-quadrant biopsy specimens every 1 to 2 cm is endorsed by various gastroenterology societies for surveillance of patients with BE because there

is evidence from retrospective studies suggesting that endoscopic surveillance is associated with a diagnosis of EAC at an earlier stage along with improved survival.^{6,7} According to the current guidelines for BE management, diagnosis of NDBE requires surveillance endoscopies every 3 to 5 years.⁸ Nevertheless, the timing of endoscopic surveillance has implications on cost-effectiveness and resource use given the lack of clear data on cause-specific mortality related to BE and the low rate of

Abbreviations used in this paper: BE, Barrett's esophagus; CI, confidence interval; EAC, esophageal adenocarcinoma; HGD, high-grade dysplasia; LGD, low-grade dysplasia; NDBE, nondysplastic Barrett's esophagus; NSAID, nonsteroidal anti-inflammatory drug; PPI, proton pump inhibitor; SD, standard deviation.

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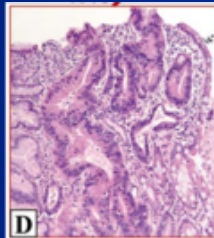
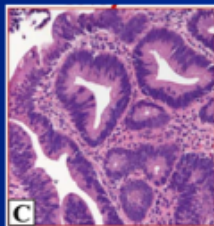
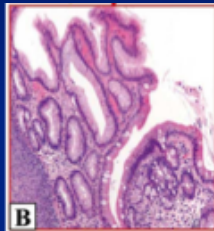
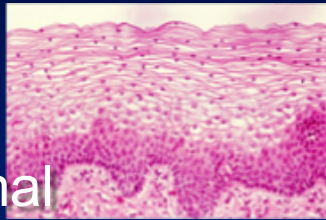
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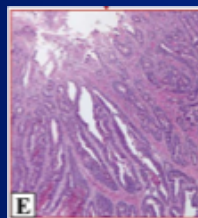
3 stages of Barrett's



Normal



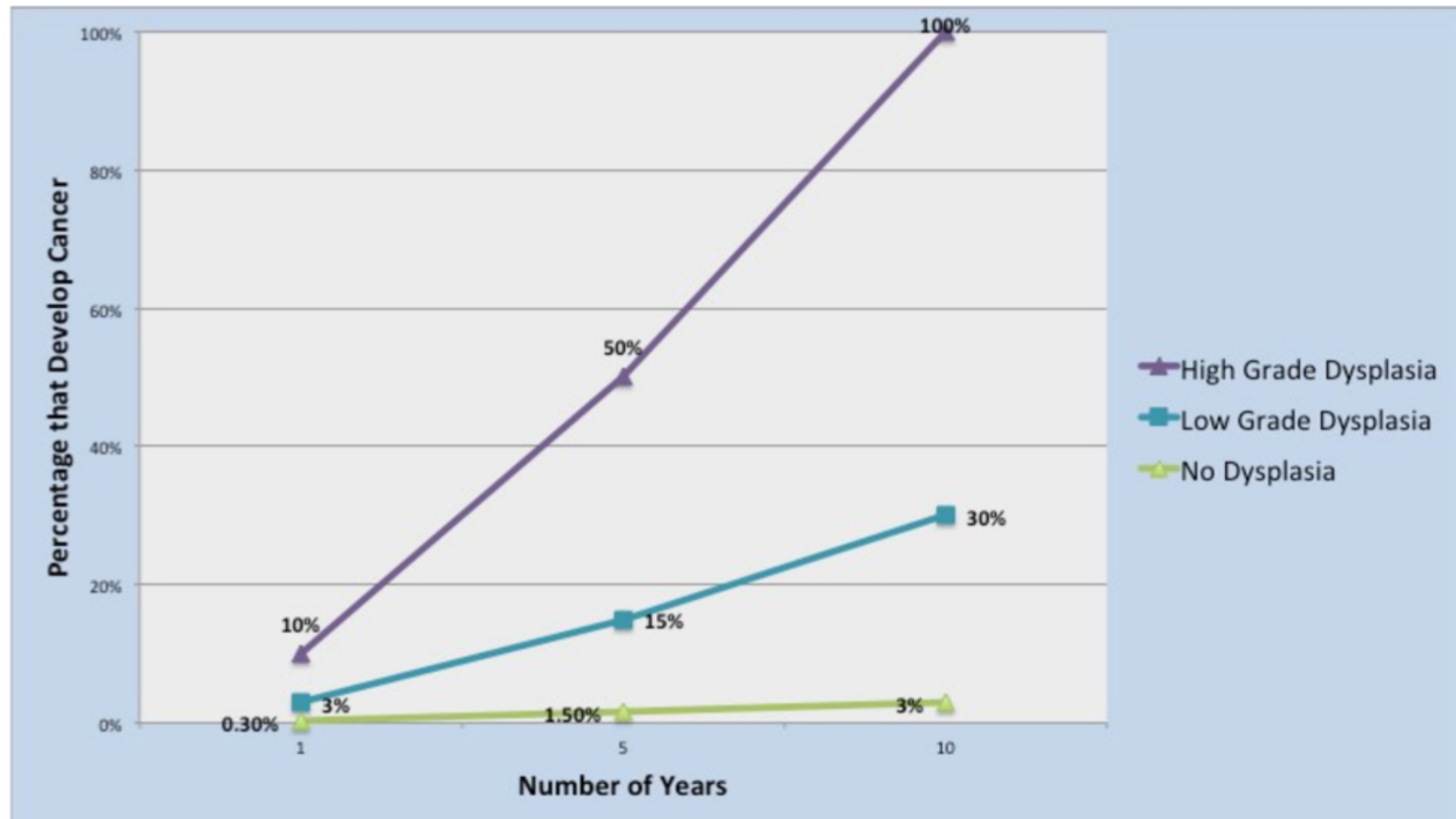
Cancer



STAGE	Histology	% risk CA 1 year	% risk CA 10 year
1	Barrett's <u>Without</u> <u>Dysplasia</u>	0.3	3%
2	Barrett's <u>Low Grade</u> <u>Dysplasia</u>	3-5	50%
3	Barrett's <u>High Grade</u> <u>Dysplasia</u>	10	100%

iBook Graph

The Risk of Developing Cancer



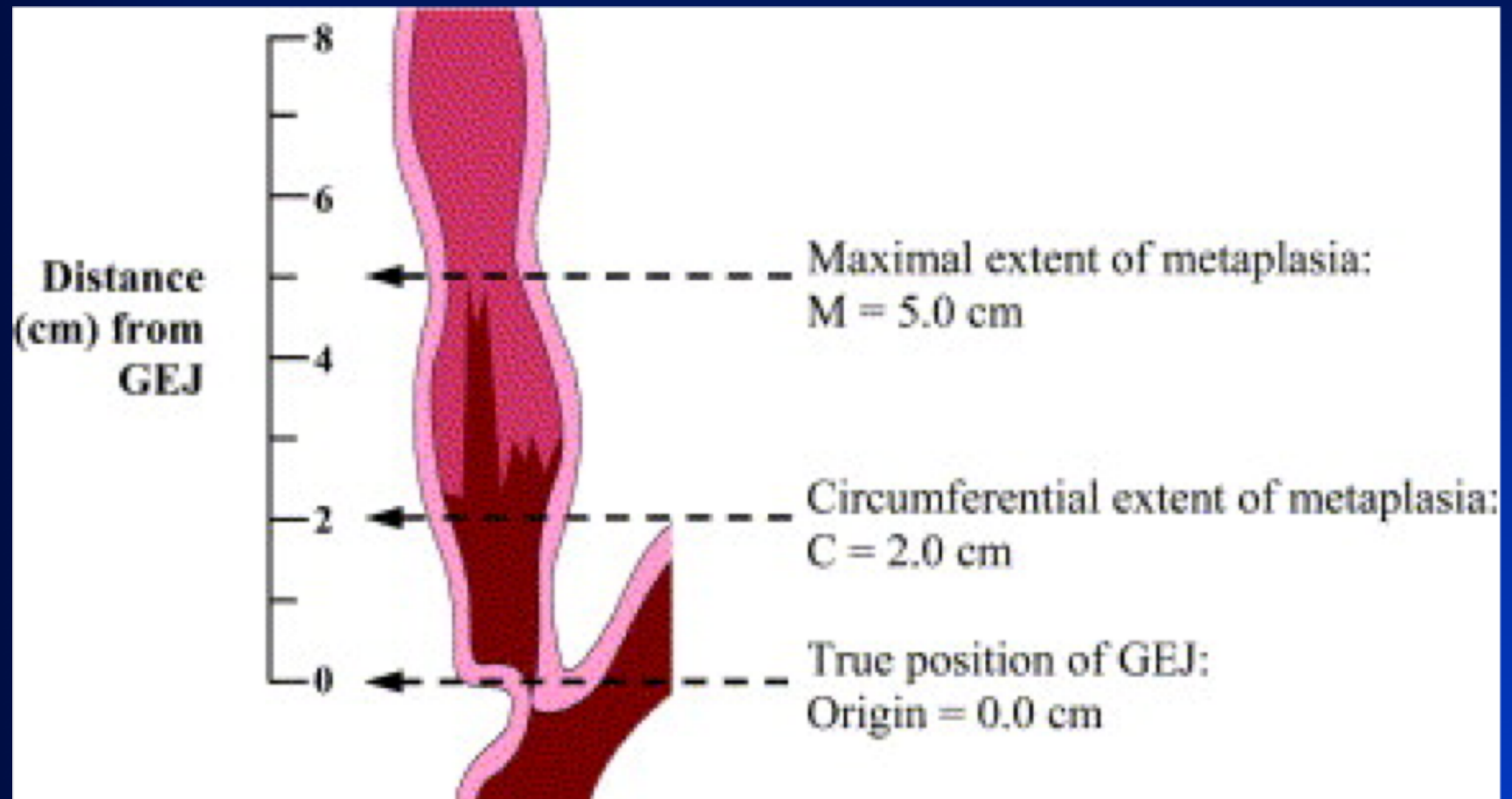
Recognition of BE & Dysplasia

Diagnosis

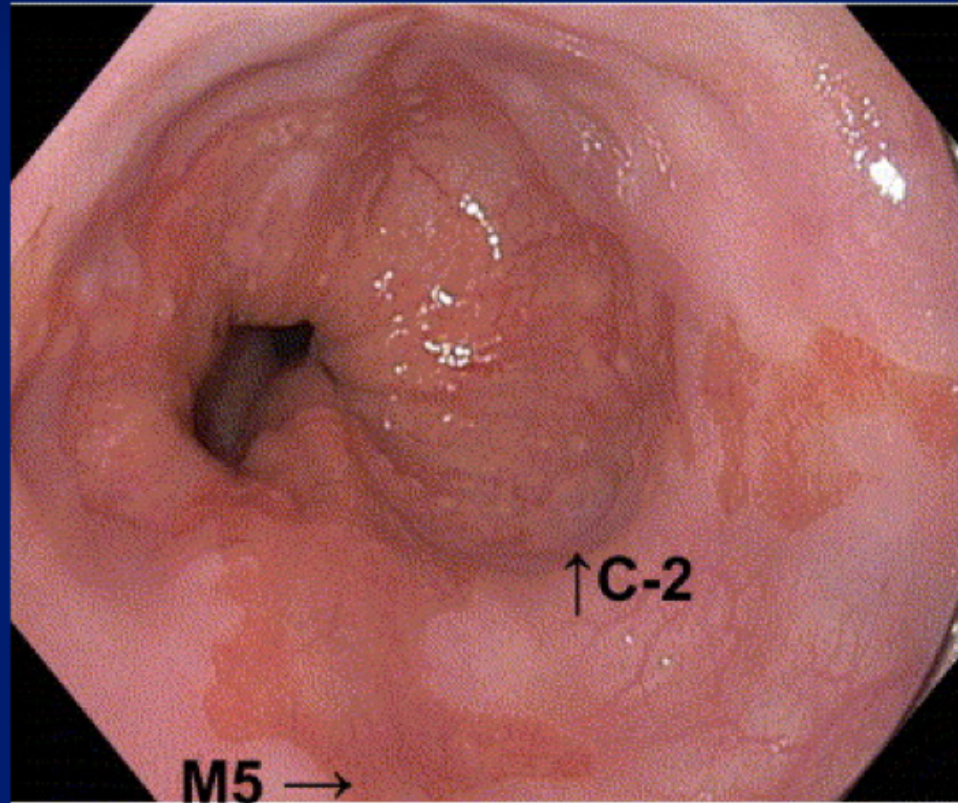
Endoscopic evaluation

- ~ High definition white light
- ~ Biopsies
 - Mucosal irregularities
 - 4 Quadrant biopsies

Prague C and M Criteria

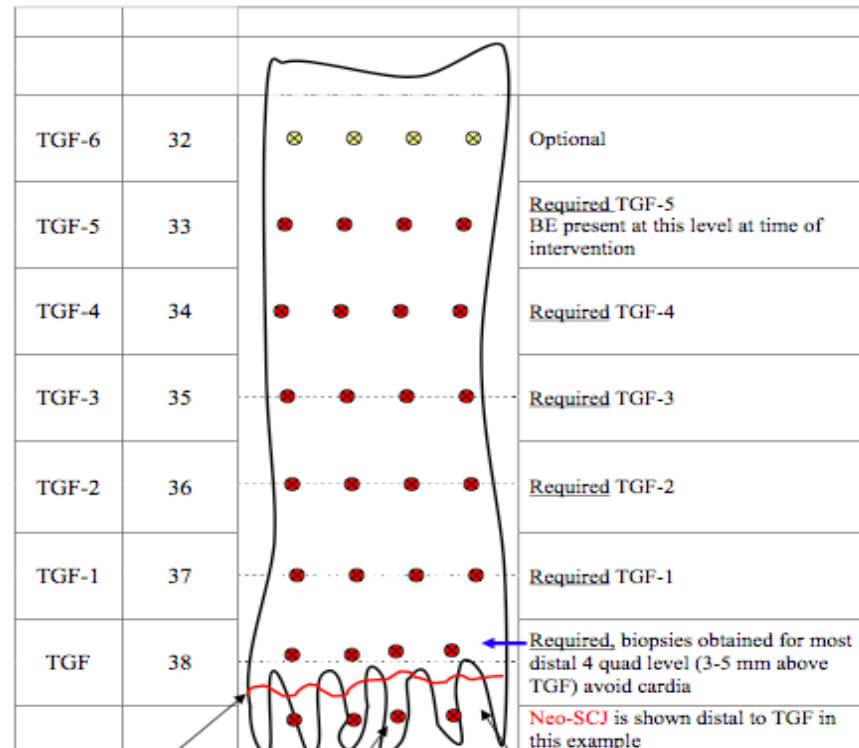


Prague C and M Criteria



Biopsy Regimen

Figure 1. Biopsy Methodology Example. Original BE at 5 cm



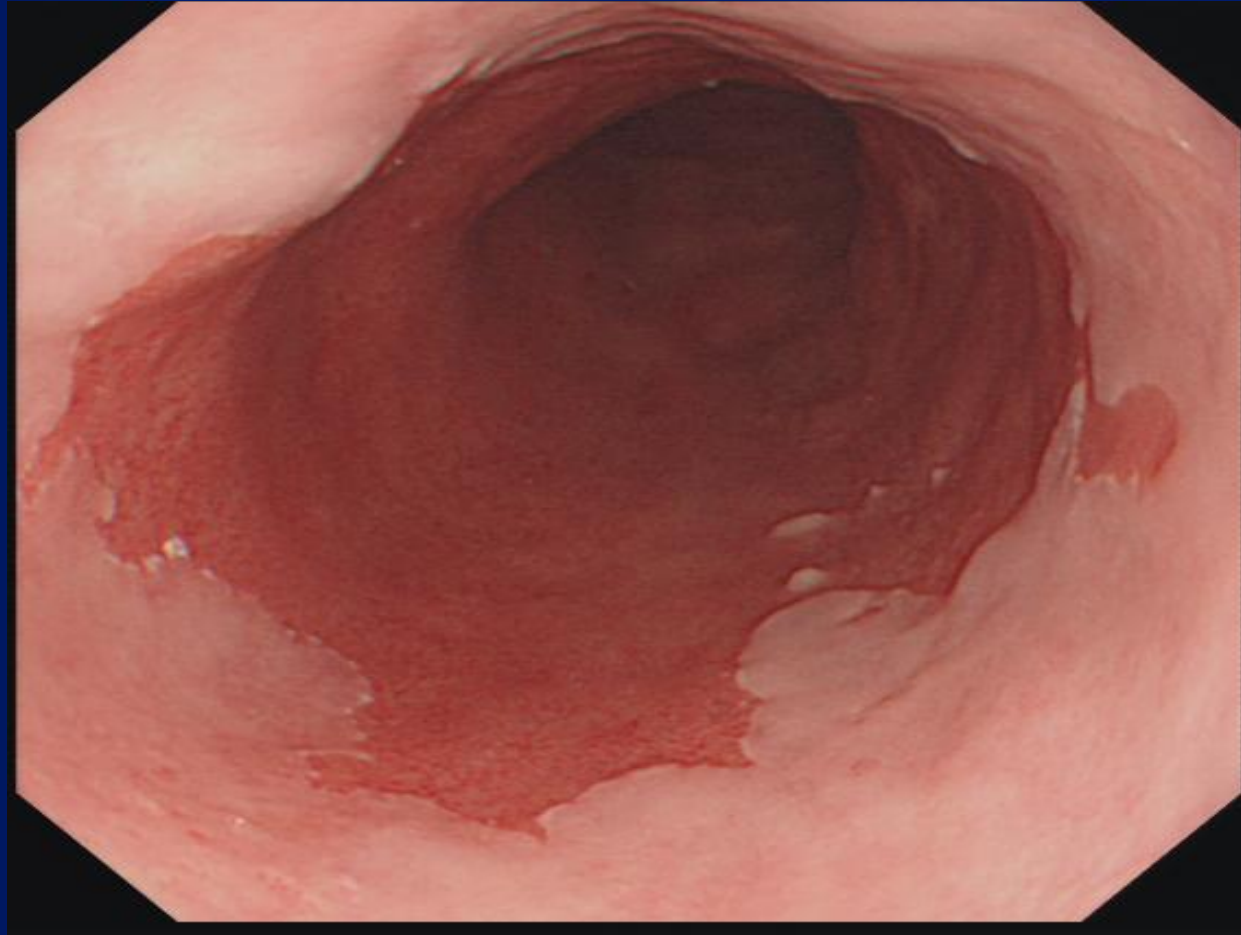
SCJ:

In this case, if biopsies obtained from neo-SCJ, would be labeled "CARDIA", unless SCJ was above TGF...then it would be "TGF"

Optional cardia biopsies at or below depicted SCJ labeled "CARDIA"

Gastric Folds

BE Endoscopic Appearance



Narrow Band Imaging

- Improves the visibility of capillaries, veins and other subtle tissue structures
- NBI uses two discrete bands of light when combined offer an extremely high contrast image of the tissue surface.

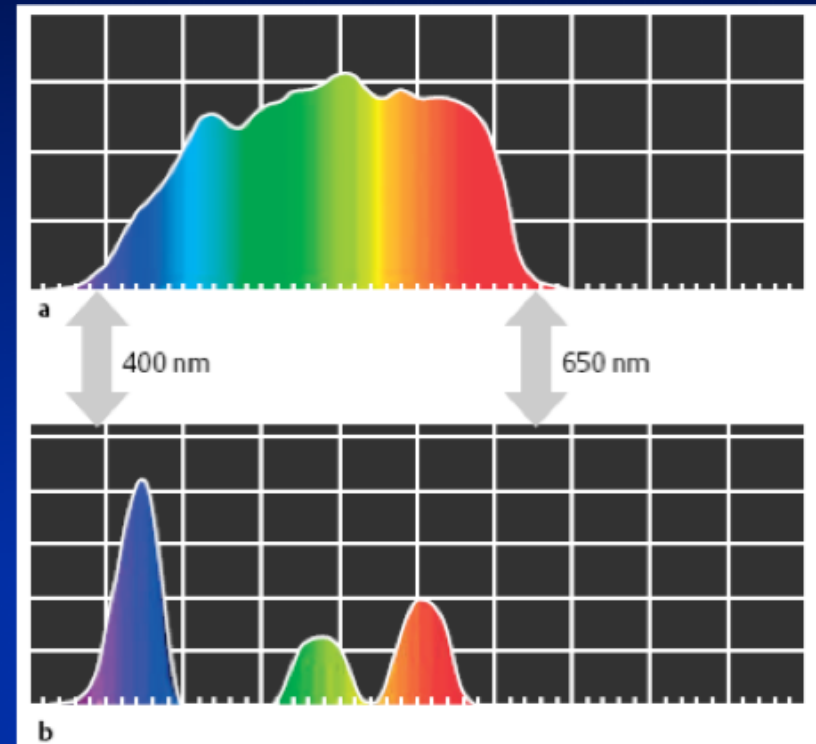
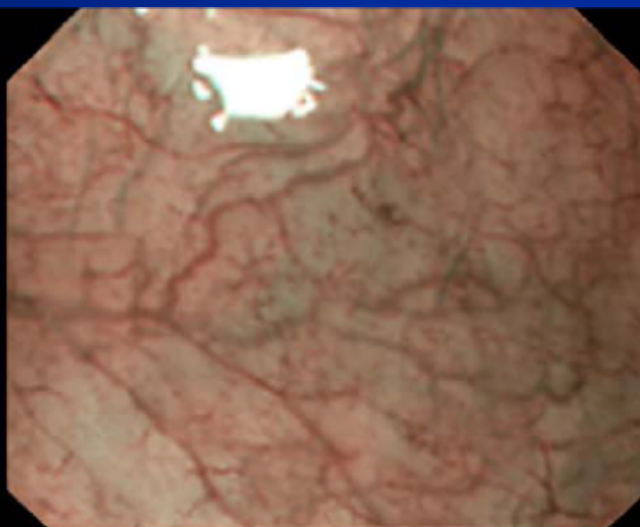
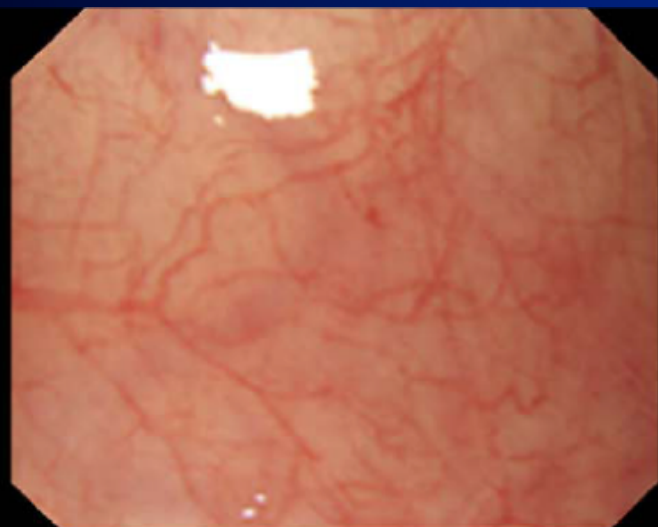
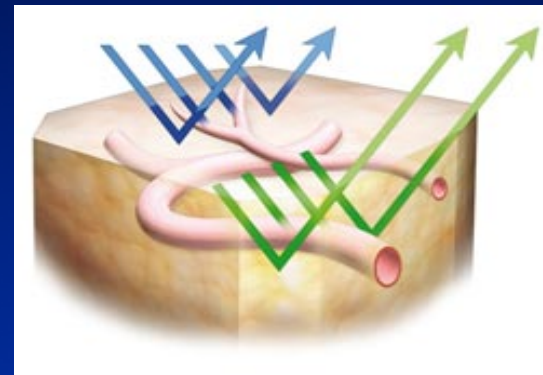


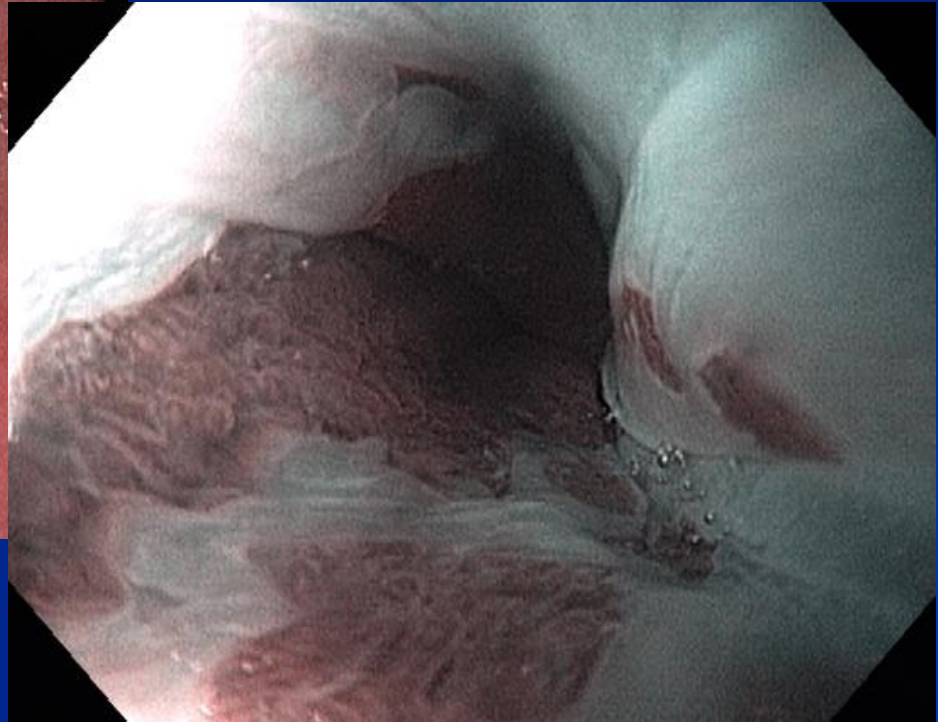
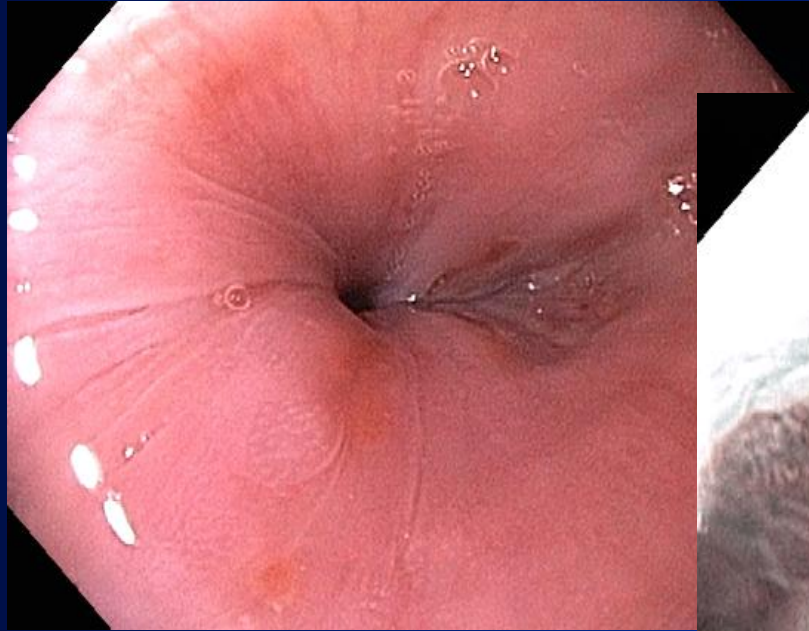
Figure 4 Reproduction of the radiance of the spectrum obtained with the broadband (a) or narrow-band (b) R/G/B interference filter, in the range 400–650 nm. The discontinuities in the radiance in image (b), with a higher value in the blue band, should be noted. Three filters were selected: for the B channel, a narrow band centered on 415 nm (width 30 nm), with an average penetration depth of 0.17 mm; for the G channel, a narrow band centered on 540 nm (width 20 nm), with an average penetration depth of 0.24 mm; and for the R channel, a narrow band centered on 600 nm (width 20 nm), with an average penetration depth of 0.28 mm. (Courtesy of Olympus Corporation, Japan.)

Narrow Band Imaging

- NBI image on the monitor:
Capillaries on the surface are displayed in brown and veins in the sub surface are displayed in cyan.



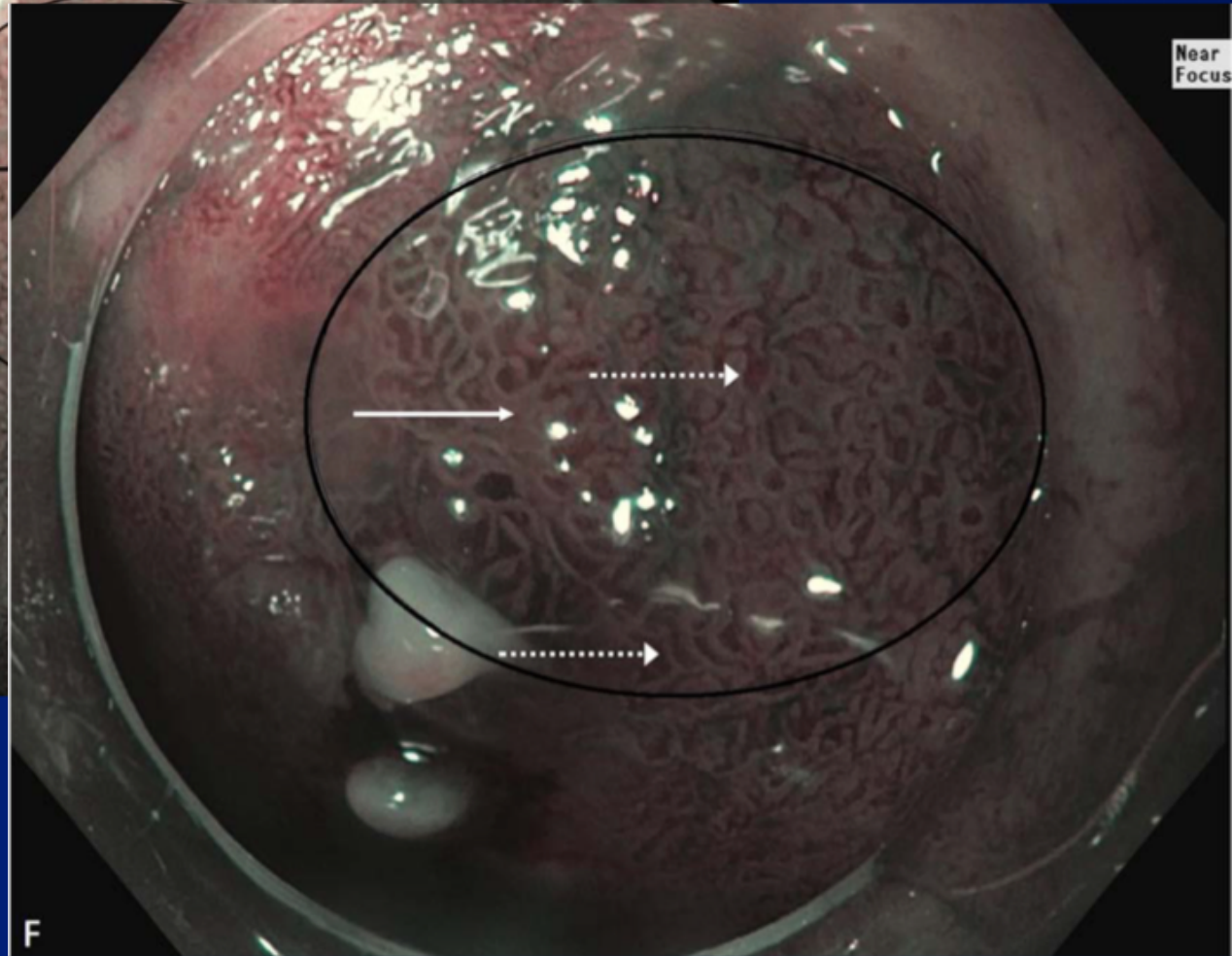
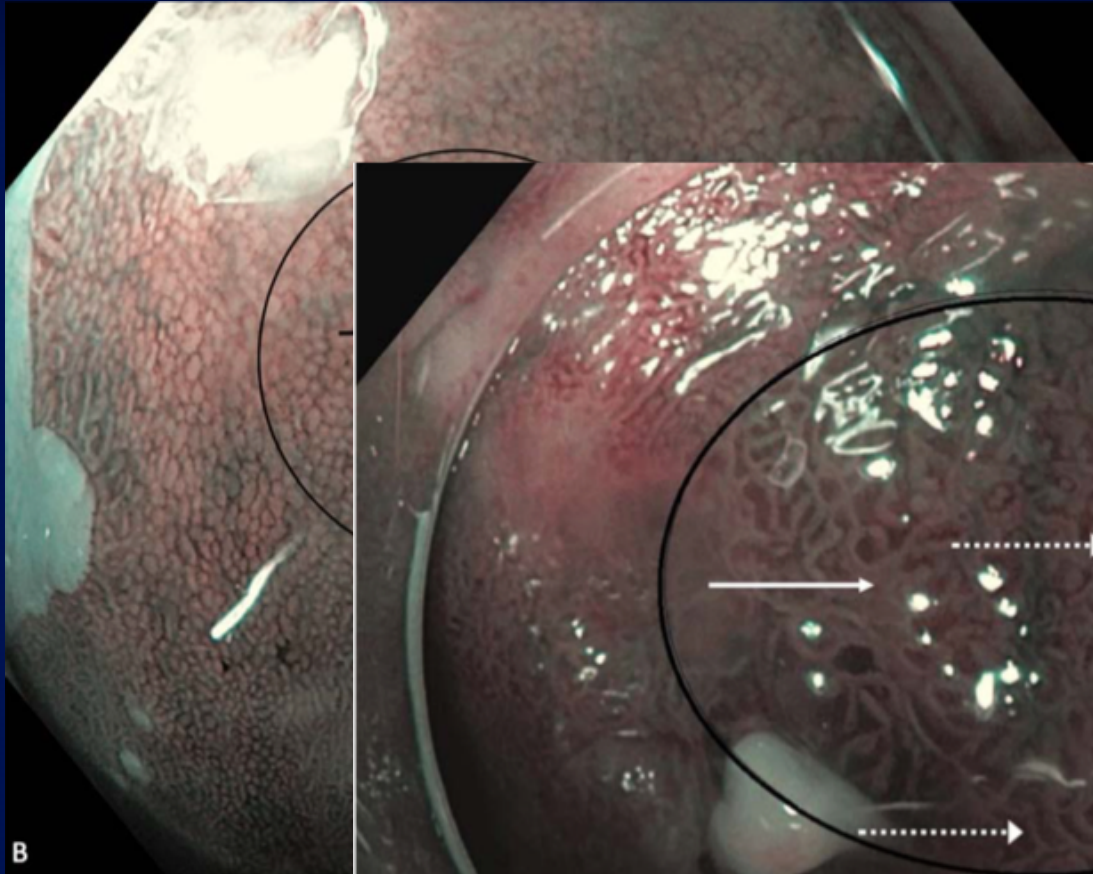
NBI for Detection of Barrett's Esophagus



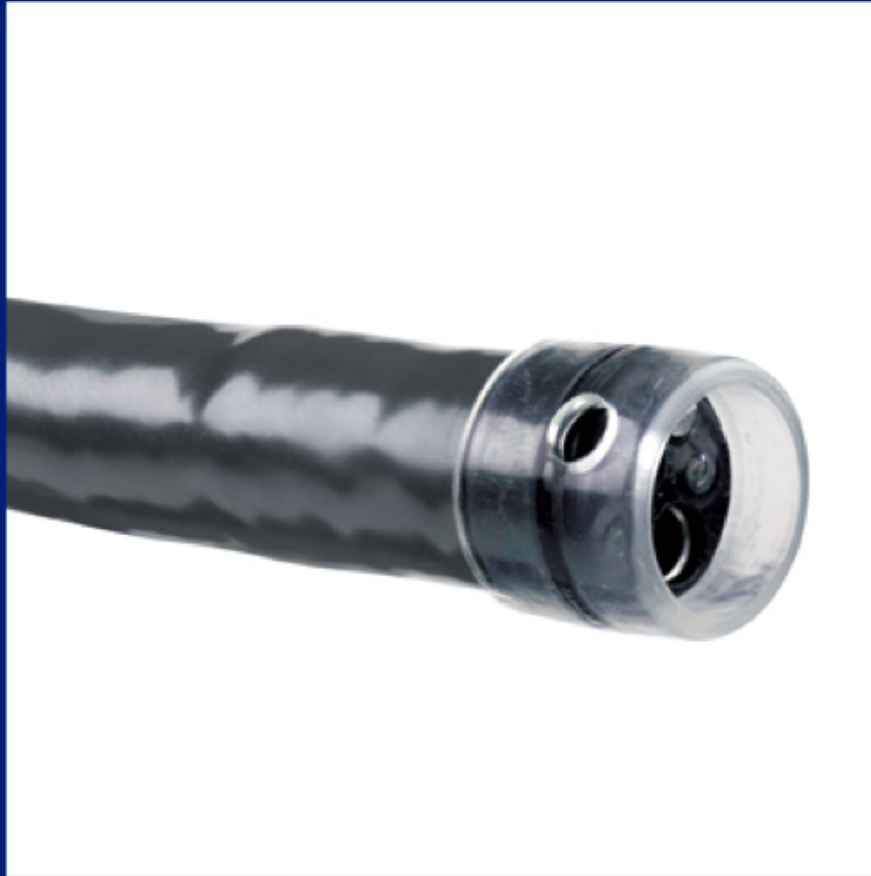
NBI for Detecting Dysplasia within Barrett's



- Barrett's International NBI Group (BING)

	Morphologic Characteristics	Classification
Mucosal Pattern	Circular, Ridged, Villous, Tubular	Regular
	Absent or Irregular	Irregular
Vascular Pattern	Regularly situated along or between ridges Normal, long, branching patterns	Regular
	Focally or diffusely distributed vessels not following normal architecture	Irregular



Distal Attachment Caps



Longer inspection time is associated with increased detection of high-grade dysplasia and esophageal adenocarcinoma in Barrett's esophagus  

Neil Gupta, MD, MPH,^{1,2} Srinivas Gaddam, MD, MPH,¹ Sachin B. Wani, MD,^{1,2} Ajay Bansal, MD,^{1,2}
Amit Rastogi, MD,^{1,2} Prateek Sharma, MD^{1,2}

Kansas City, Missouri; Kansas City, Kansas, USA

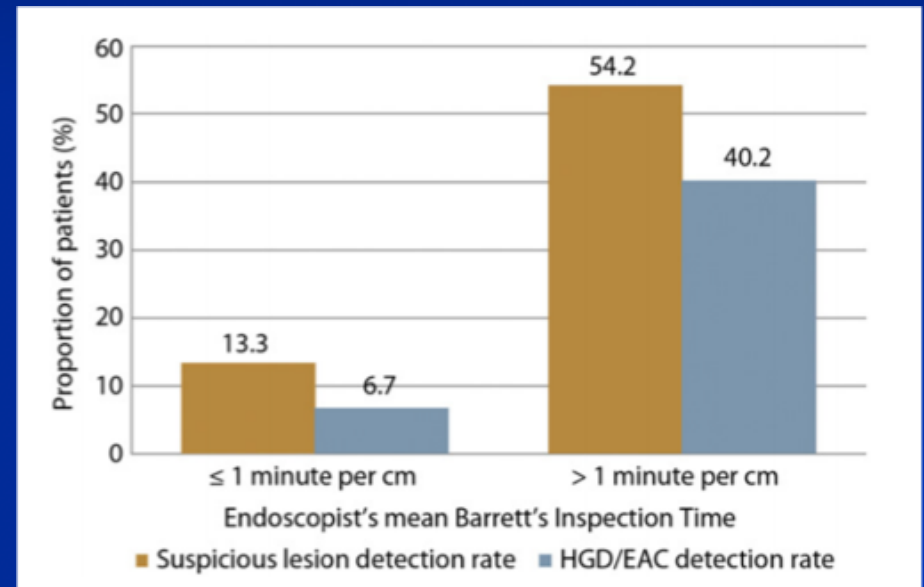
- 112 patients underwent endoscopic surveillance by 11 endoscopists.
- Patients with longer BITs were more likely to have an endoscopically suspicious lesion (P <.001)
- Direct correlation between the endoscopist's mean BIT per centimeter of BE and the detection of patients with HGD/EAC

Longer inspection time is associated with increased detection of high-grade dysplasia and esophageal adenocarcinoma in Barrett's esophagus

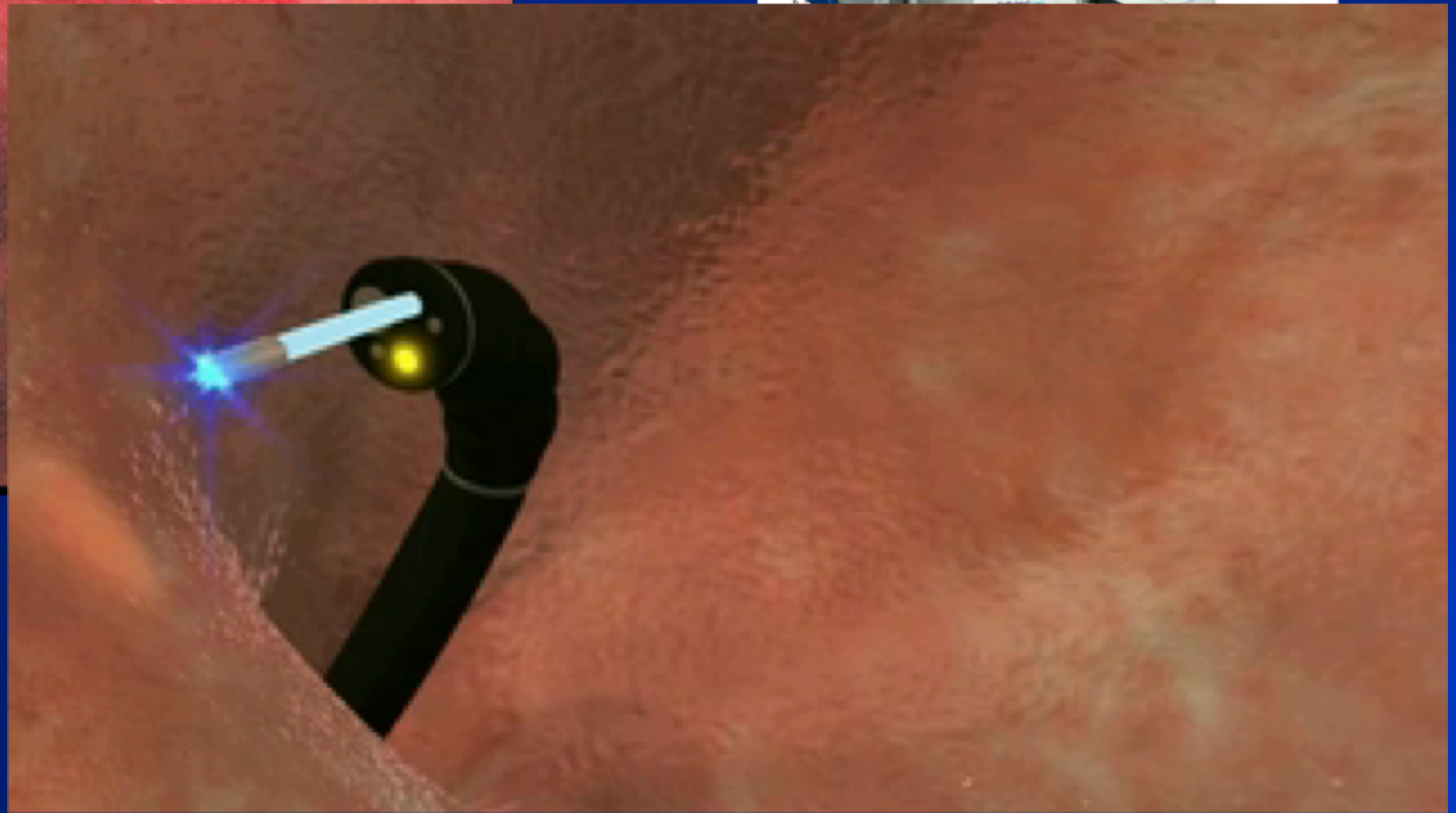
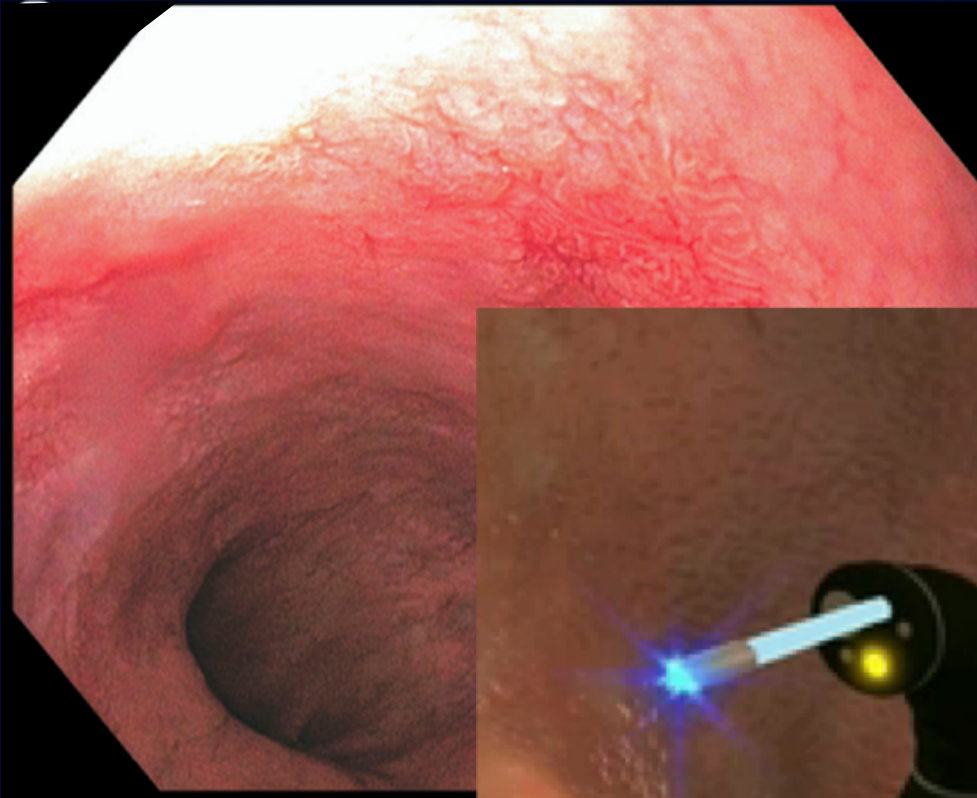
Neil Gupta, MD, MPH,^{1,2} Srinivas Gaddam, MD, MPH,¹ Sachin B. Wani, MD,^{1,2} Ajay Bansal, MD,^{1,2}
Amit Rastogi, MD,^{1,2} Prateek Sharma, MD^{1,2}

Kansas City, Missouri; Kansas City, Kansas, USA

- Endoscopists who had an average BIT longer than 1 minute per centimeter of BE detected more patients with endoscopically suspicious lesions (54.2% vs 13.3%, p .04)



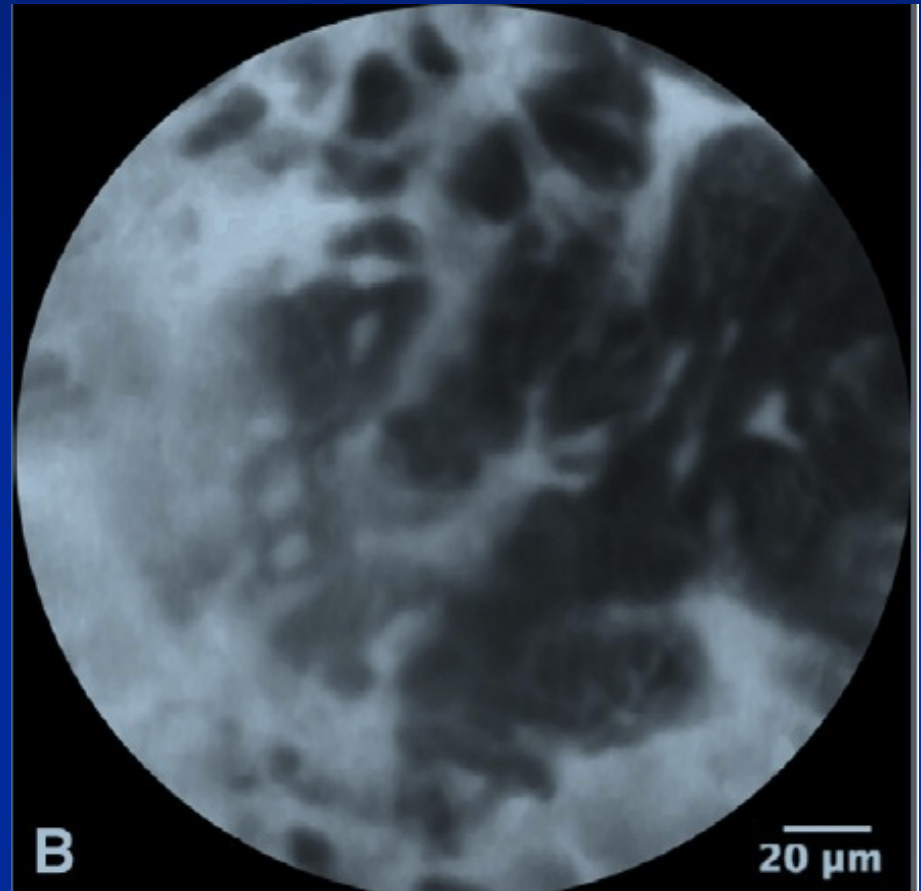
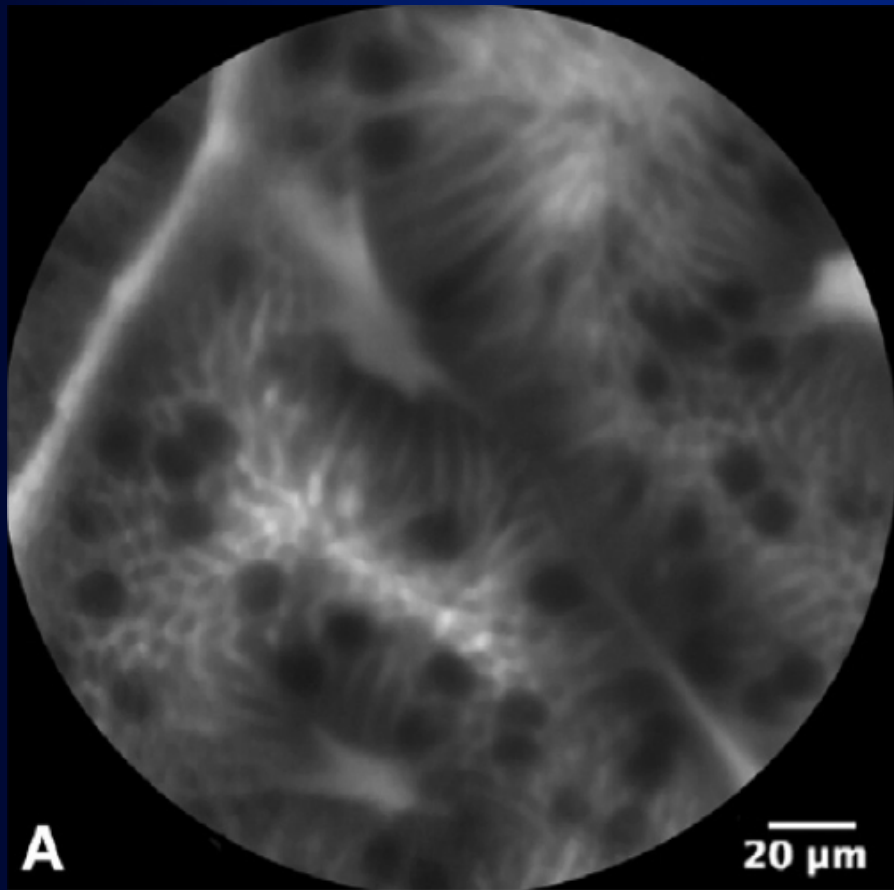
Endomicroscopy



Real-time increased detection of neoplastic tissue in Barrett's esophagus with pCLE: final results of an international multicenter, prospective, randomized, controlled trial

P. Sharma, A. Meining, E. Coron, C. Lightdale, H. Wolfsen, A. Bansal, M. Bajbouj, J.-P. Galmiche, J. Abrams, A. Rastogi, N. Gupta, J. Michalek, G. Lauwers, M. Wallace

GASTROINTESTINAL ENDOSCOPY Vol. 74, Issue 3, Sep 2011, Pages 465-472



DONT BIOPCE TRIAL

Real-time increased detection of neoplastic tissue in Barrett's esophagus with pCLE: final results of an international multicenter, prospective, randomized, controlled trial

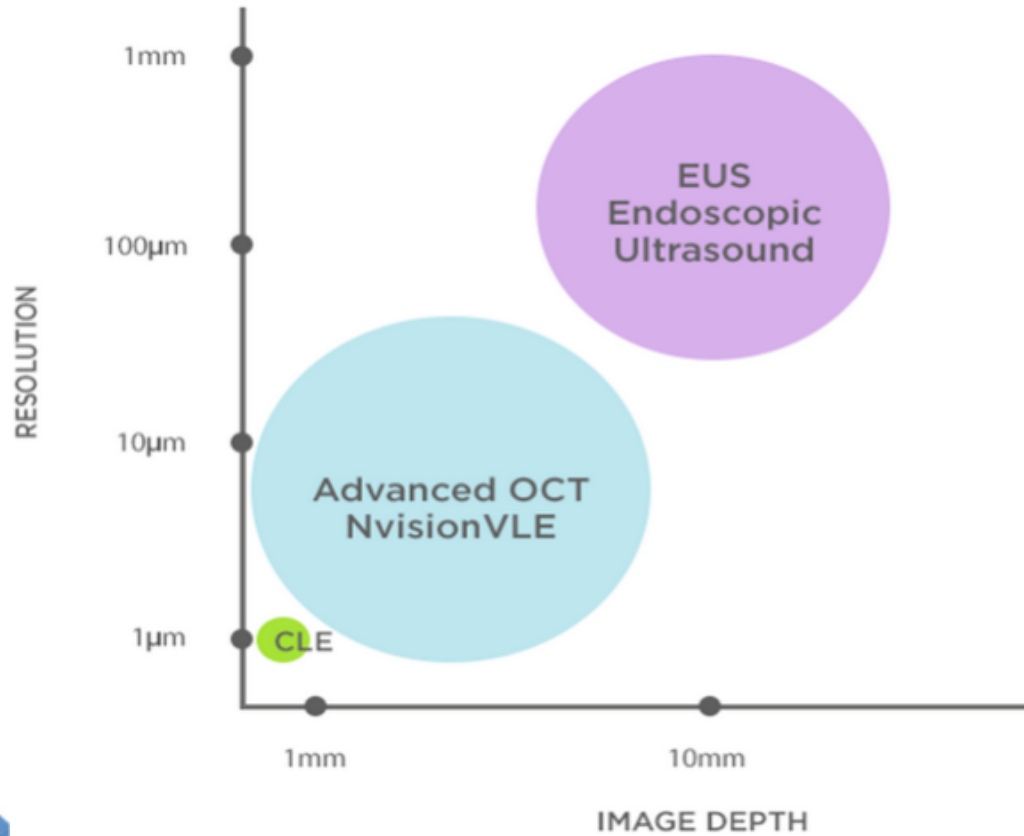
P. Sharma, A. Meining, E. Coron, C. Lightdale, H. Wolfsen, A. Bansal, M. Bajbouj, J.-P. Galmiche, J. Abrams, A. Rastogi, N. Gupta, J. Michalek, G. Lauwers, M. Wallace

GASTROINTESTINAL ENDOSCOPY Vol. 74, Issue 3, Sep 2011, Pages 465-472

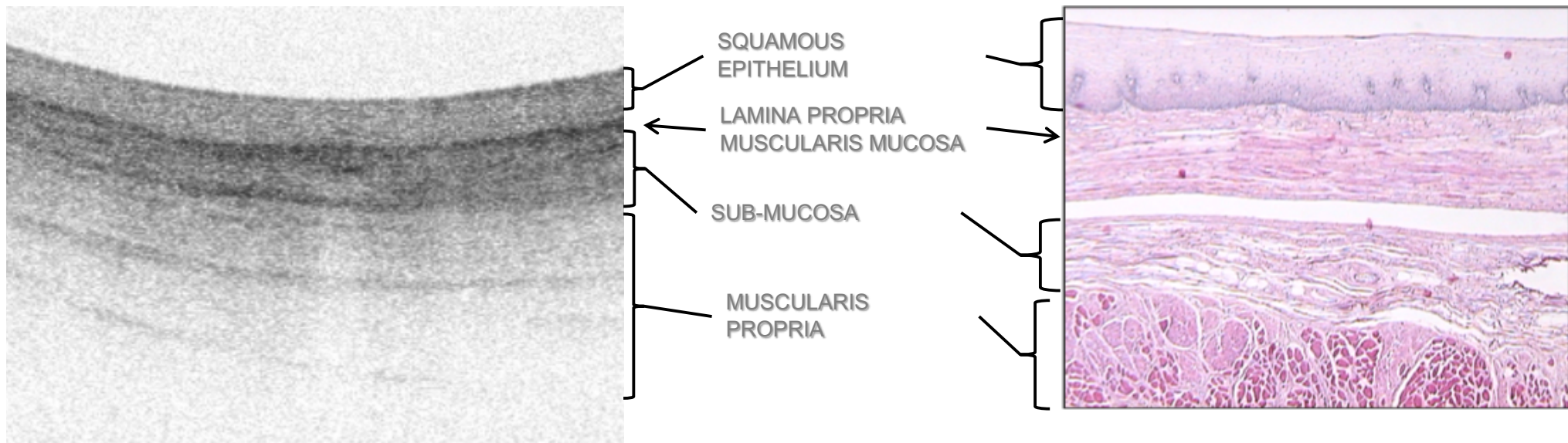
- Multicenter international trial (5 centers)
- Prospective, double blinded trial: WLE, NBI, pCLE
- 101 patients - 874 locations

**Negative Predictive Value of 94% for
HGD/EC**

Volumetric Laser Endomicroscopy



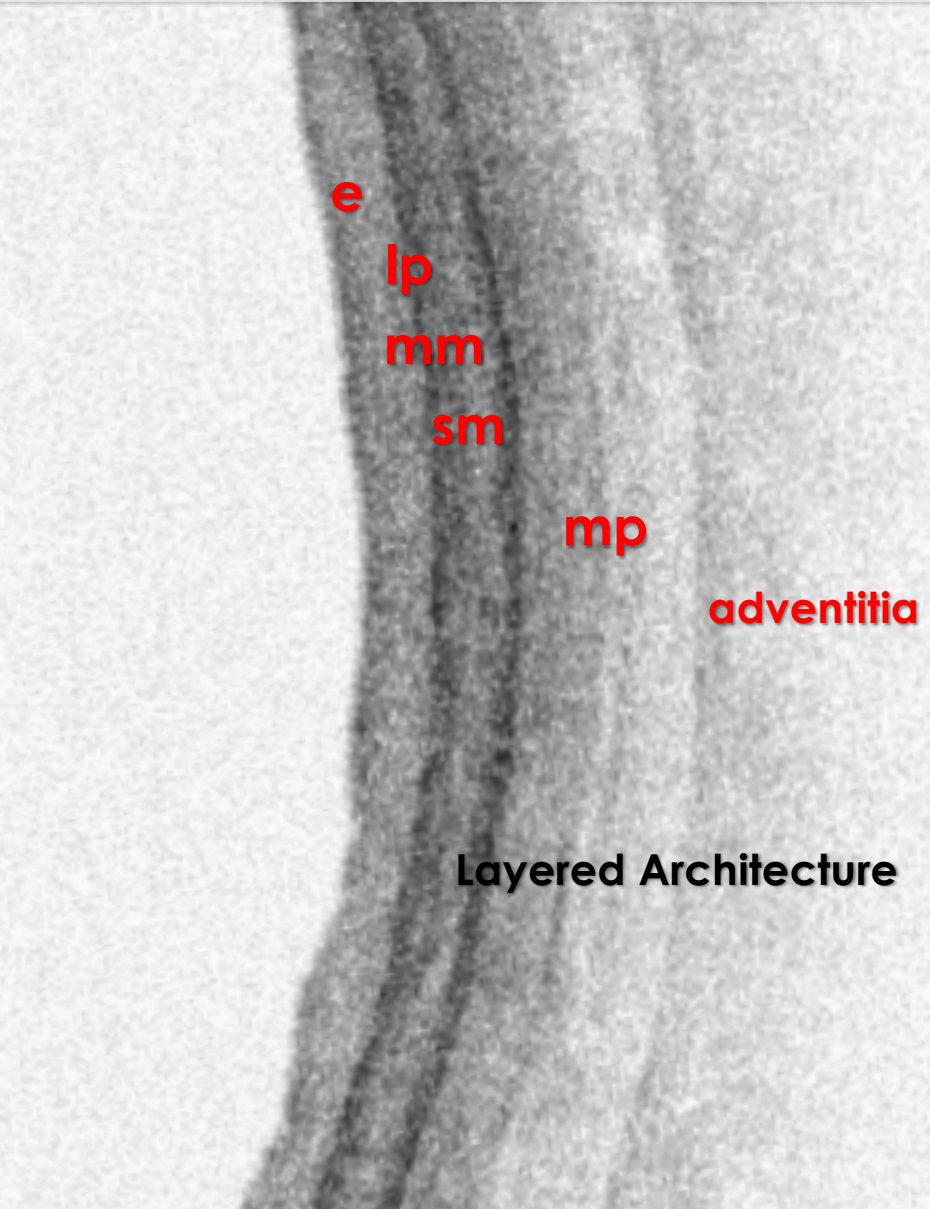
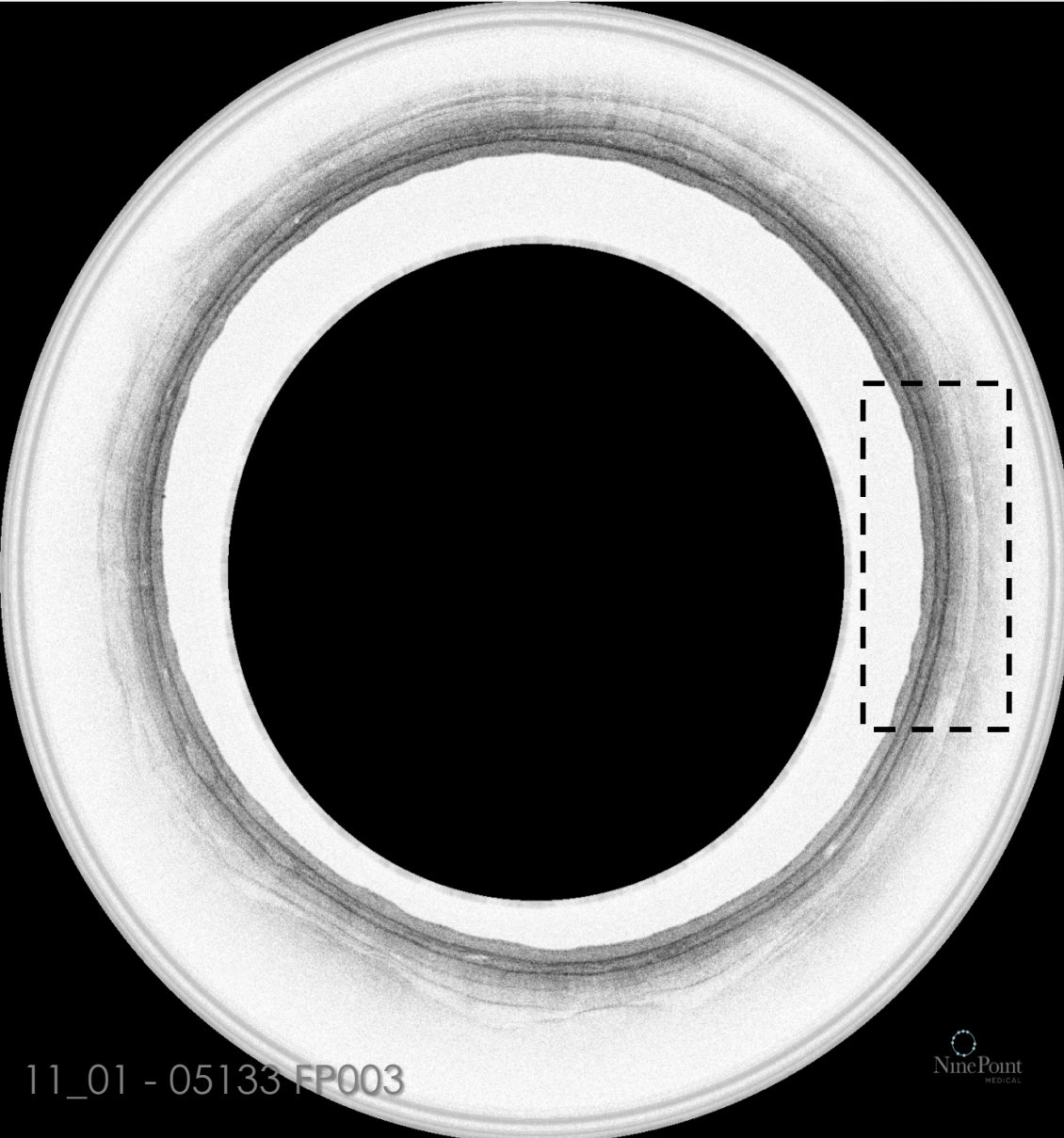
Normal Esophageal Mucosa*



* Images are not of the same patient

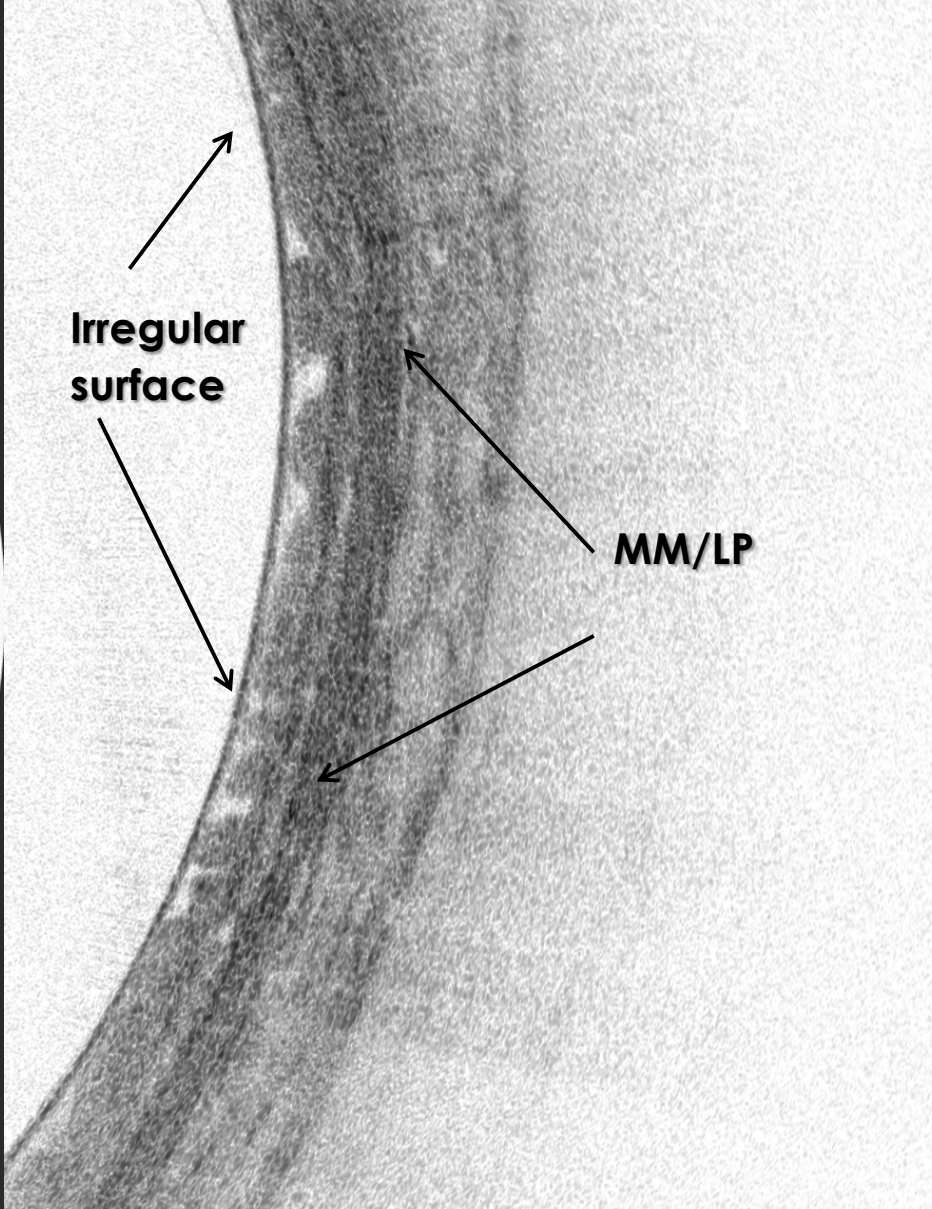
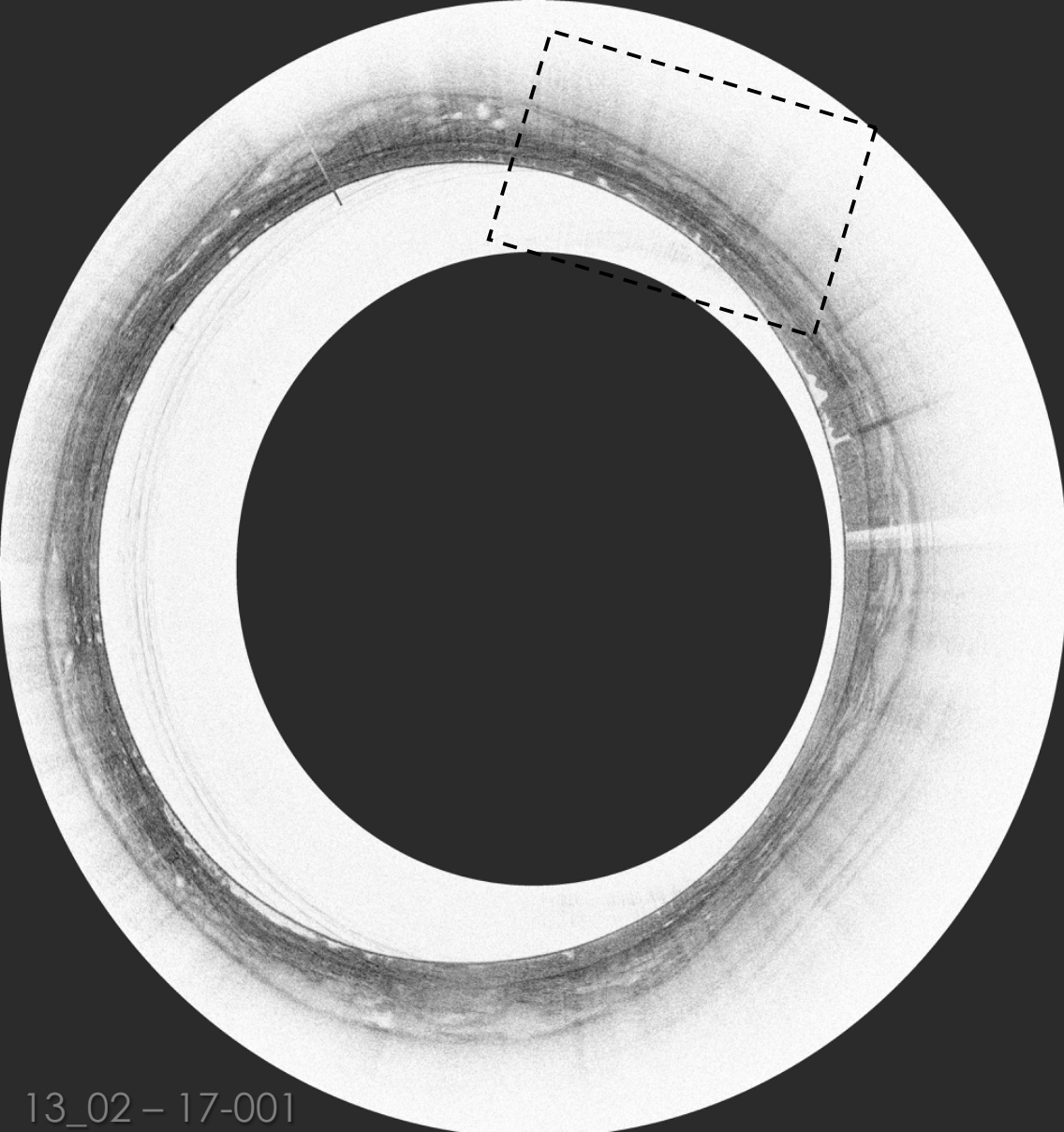
Normal

Esophageal Mucosa



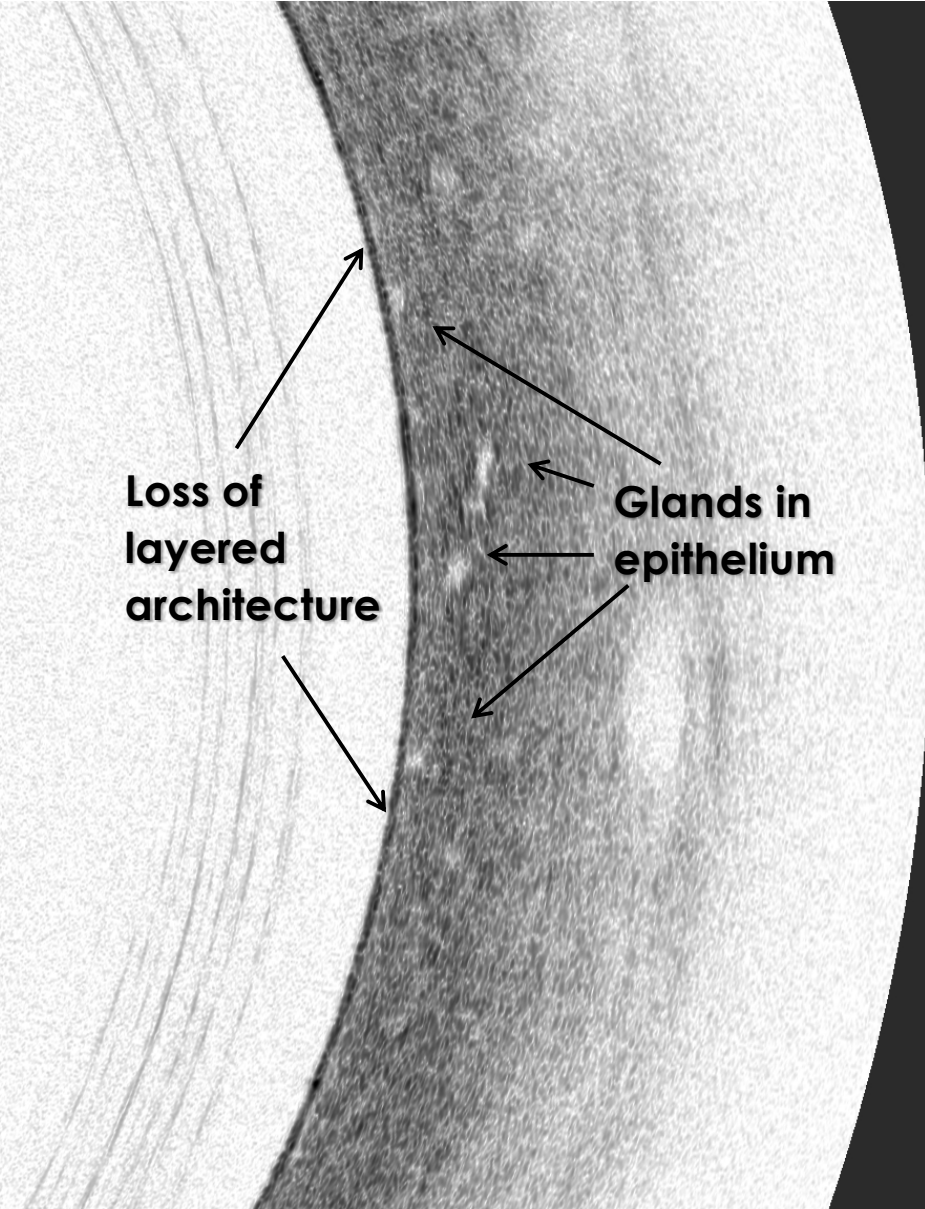
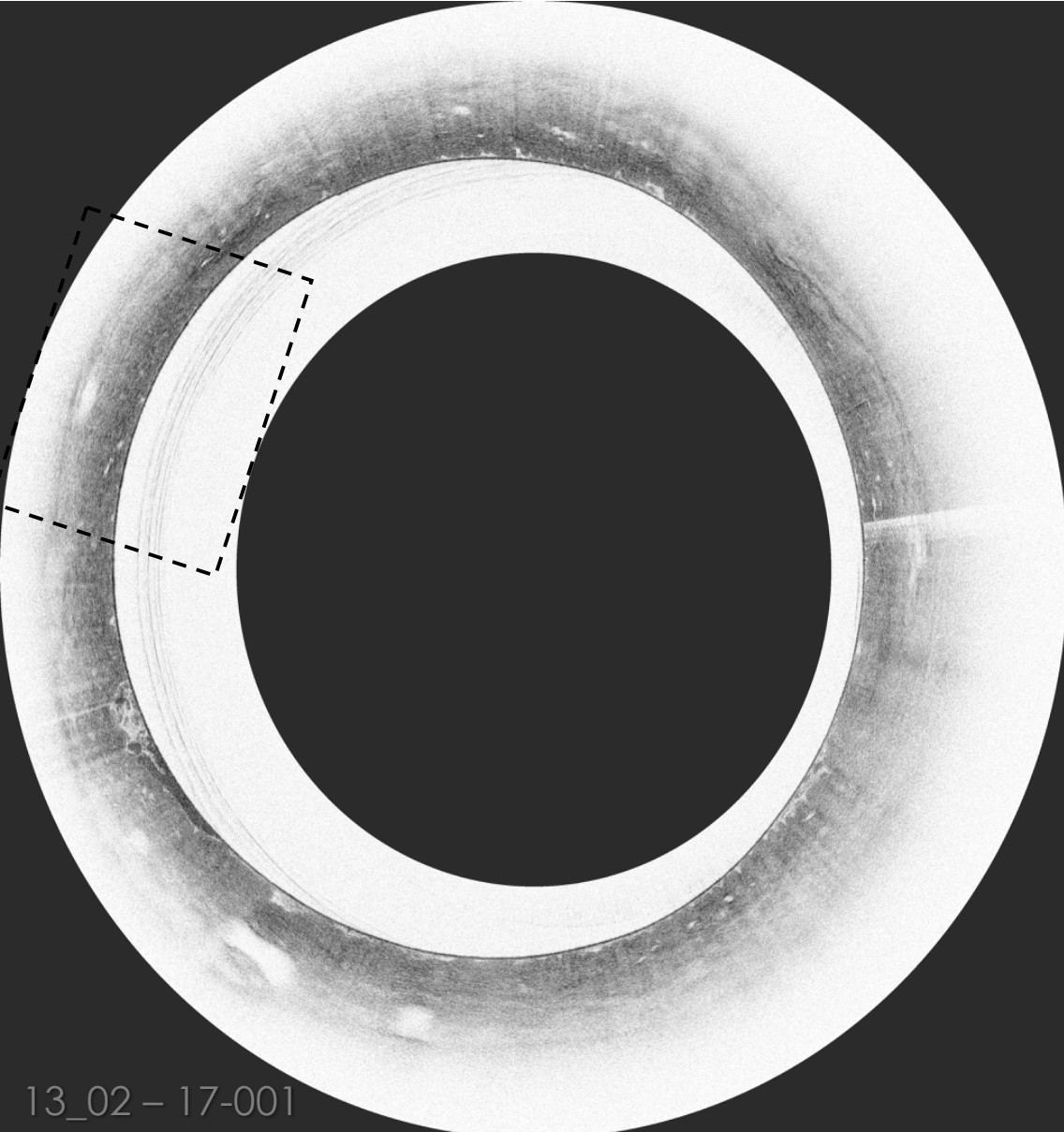
Abnormal

Layered Architecture w/ Irregular Surface



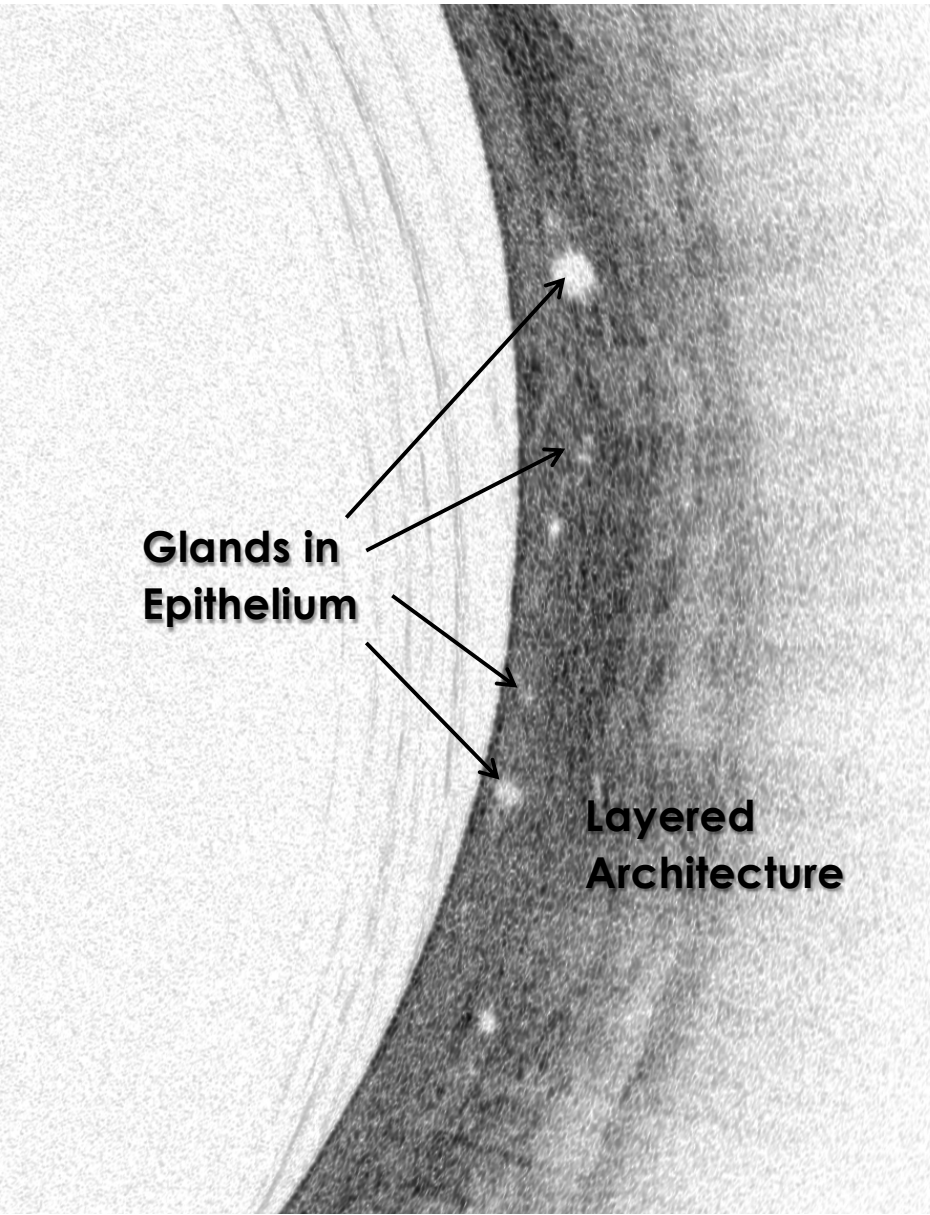
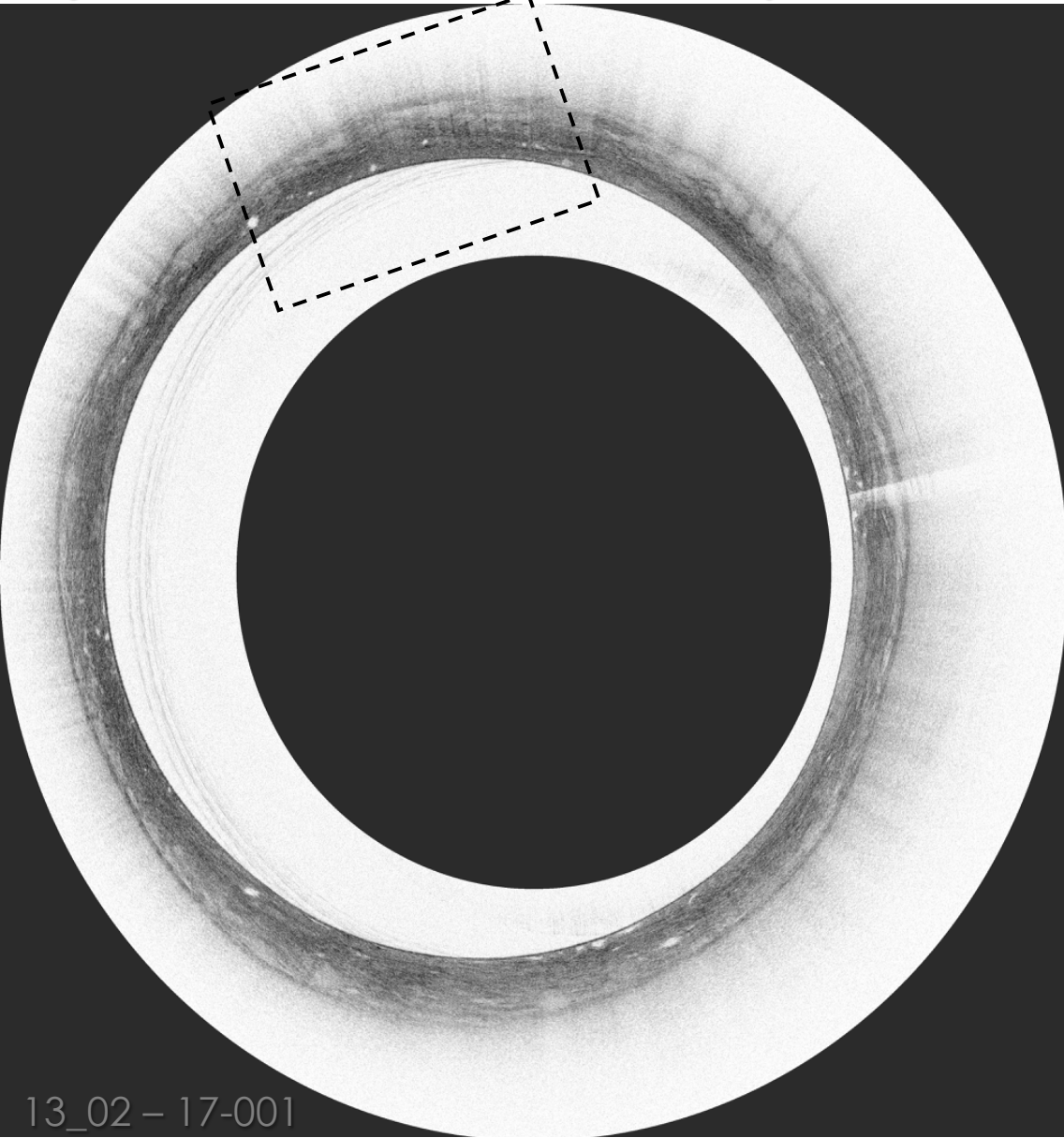
Abnormal

Loss of Layered Architecture w/ Glands in Epithelium

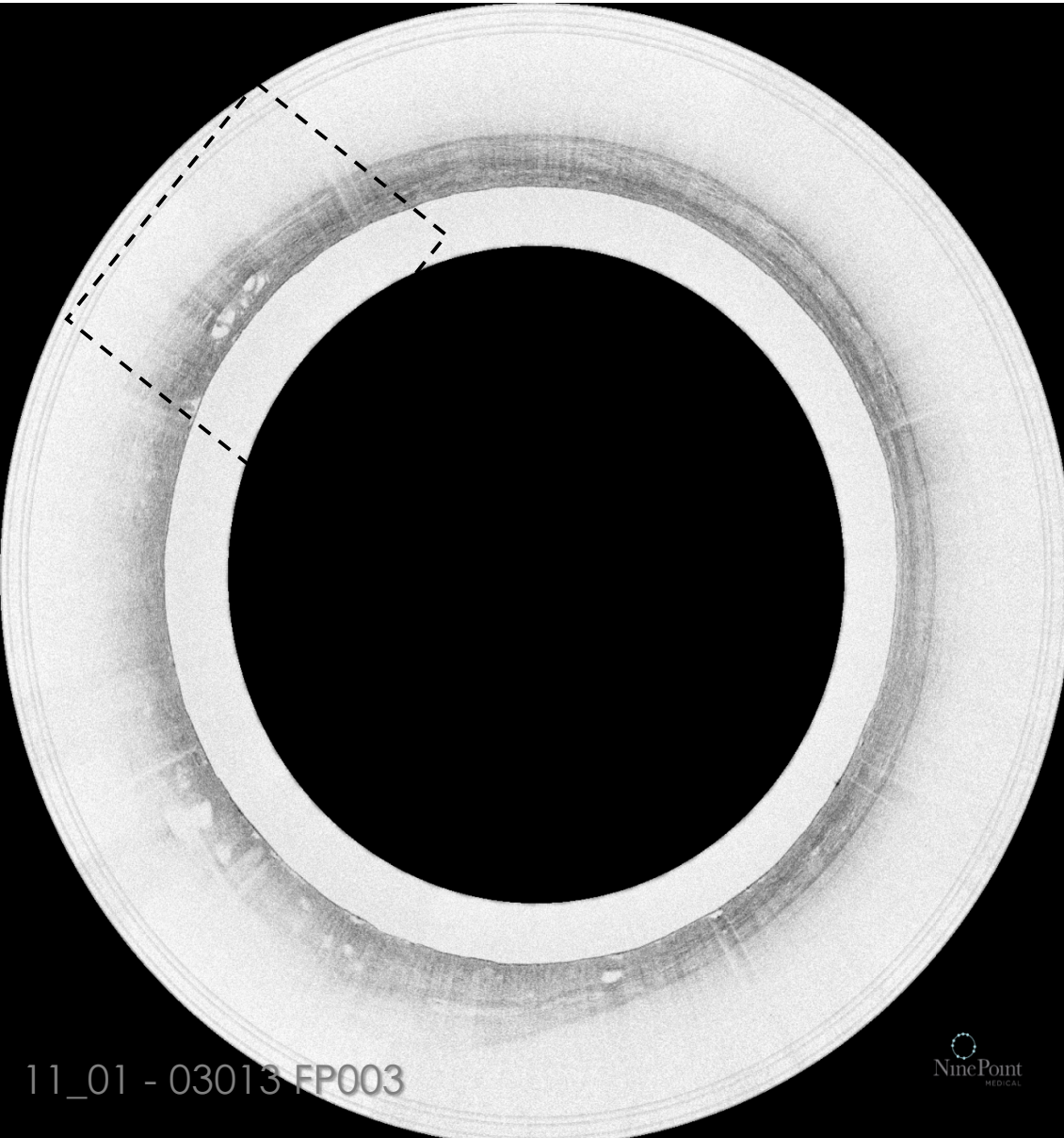


Abnormal

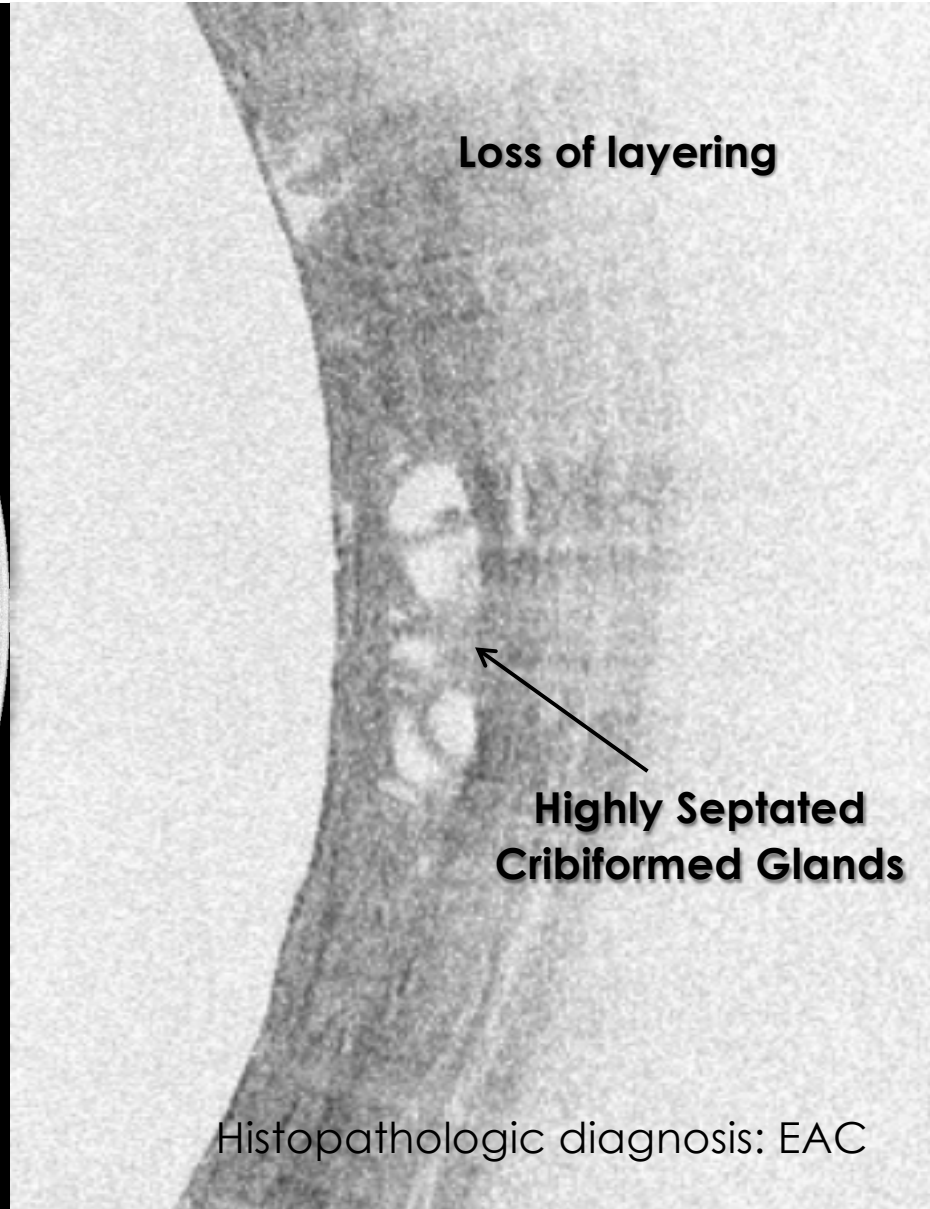
Layered Architecture w/ Glands in Epithelium



Abnormal *Atypical Glands*

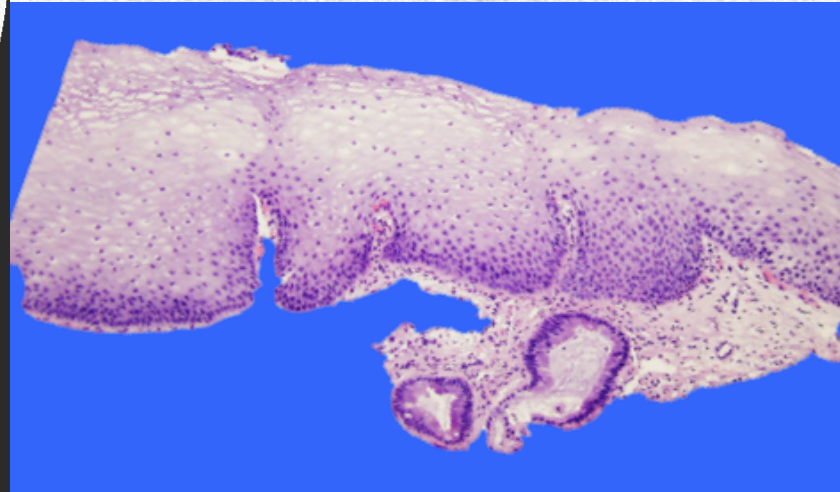
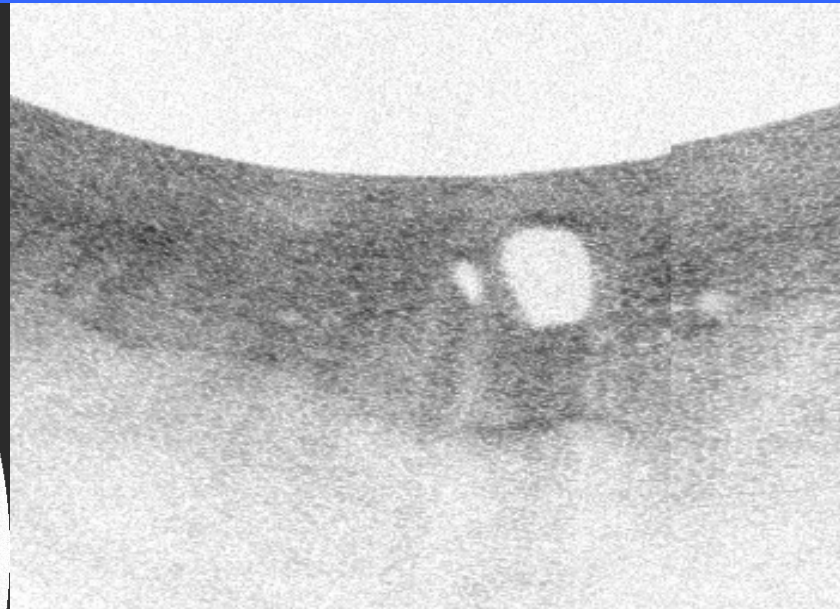
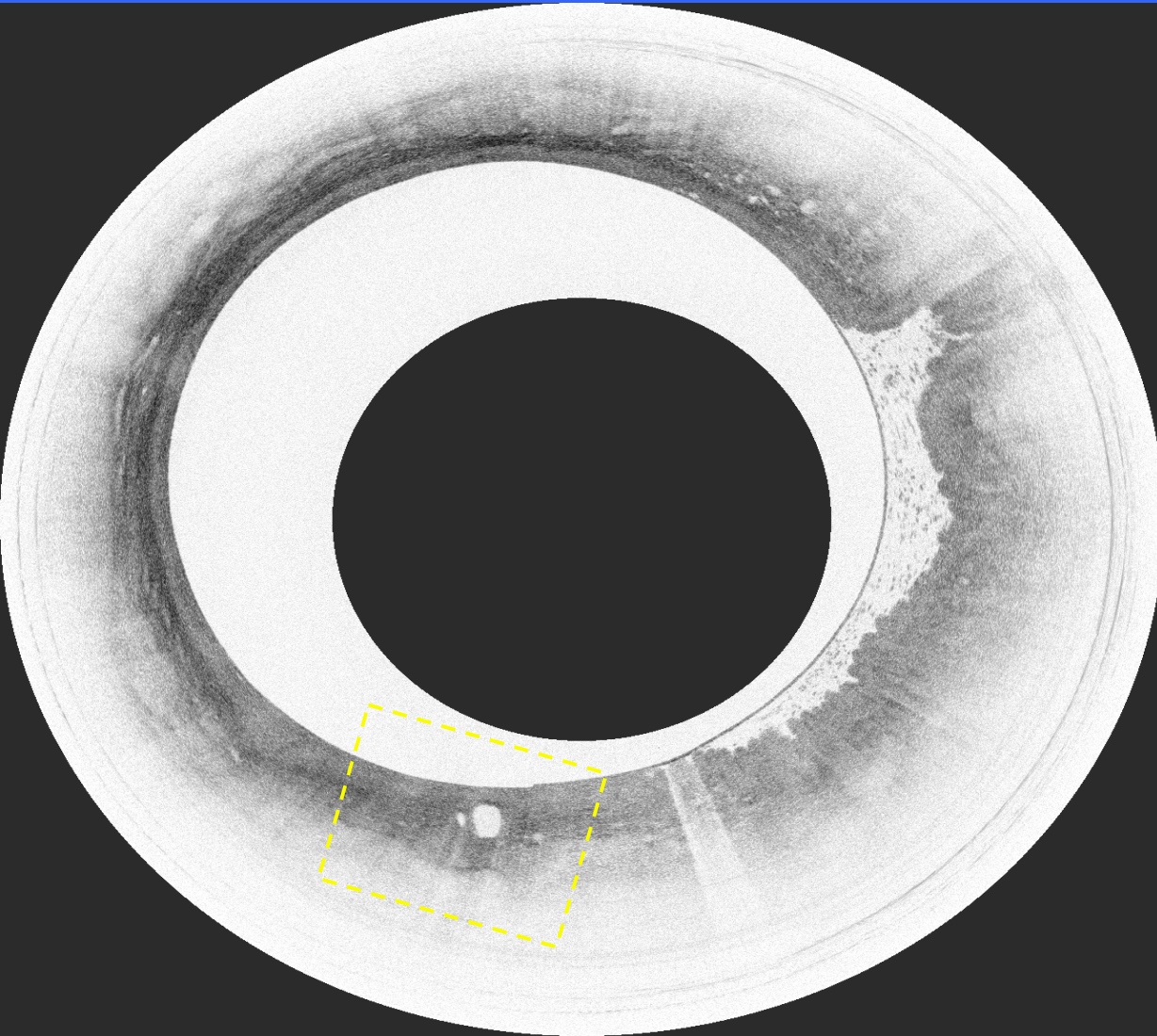


11_01 - 03013 FP003



Histopathologic diagnosis: EAC

Buried BE



Courtesy of K. Chang, MD. UC Irvine Medical Center



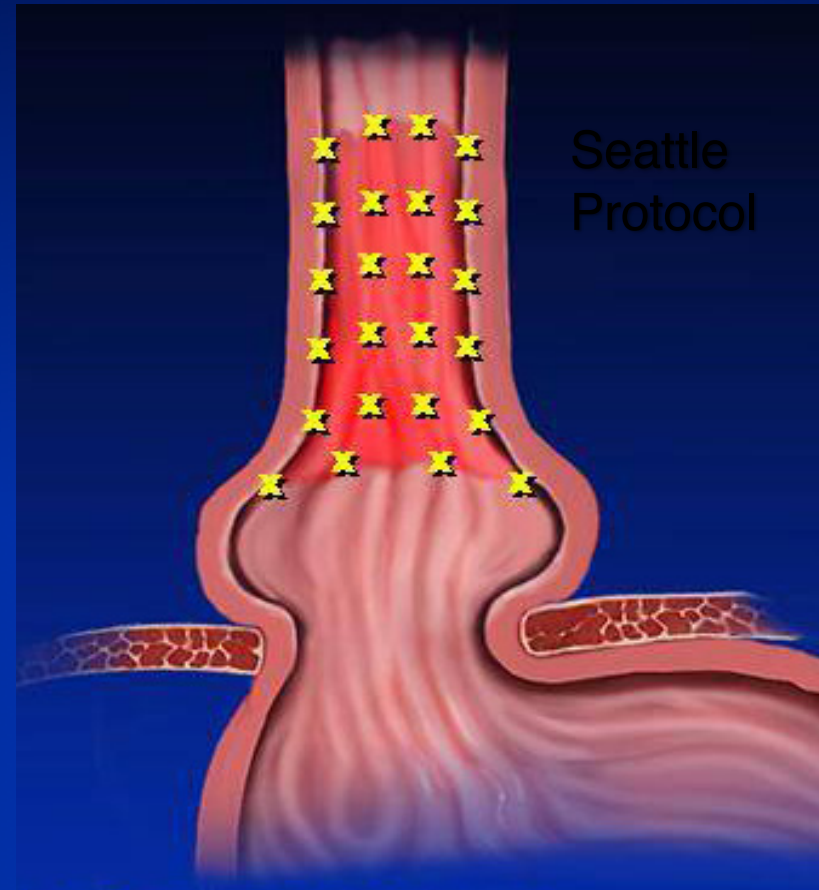
Endoscopic Surveillance

Endoscopic Surveillance in Patients With Barrett's Esophagus

We suggest that endoscopic surveillance be performed in patients with Barrett's esophagus (weak recommendation, moderate-quality evidence).

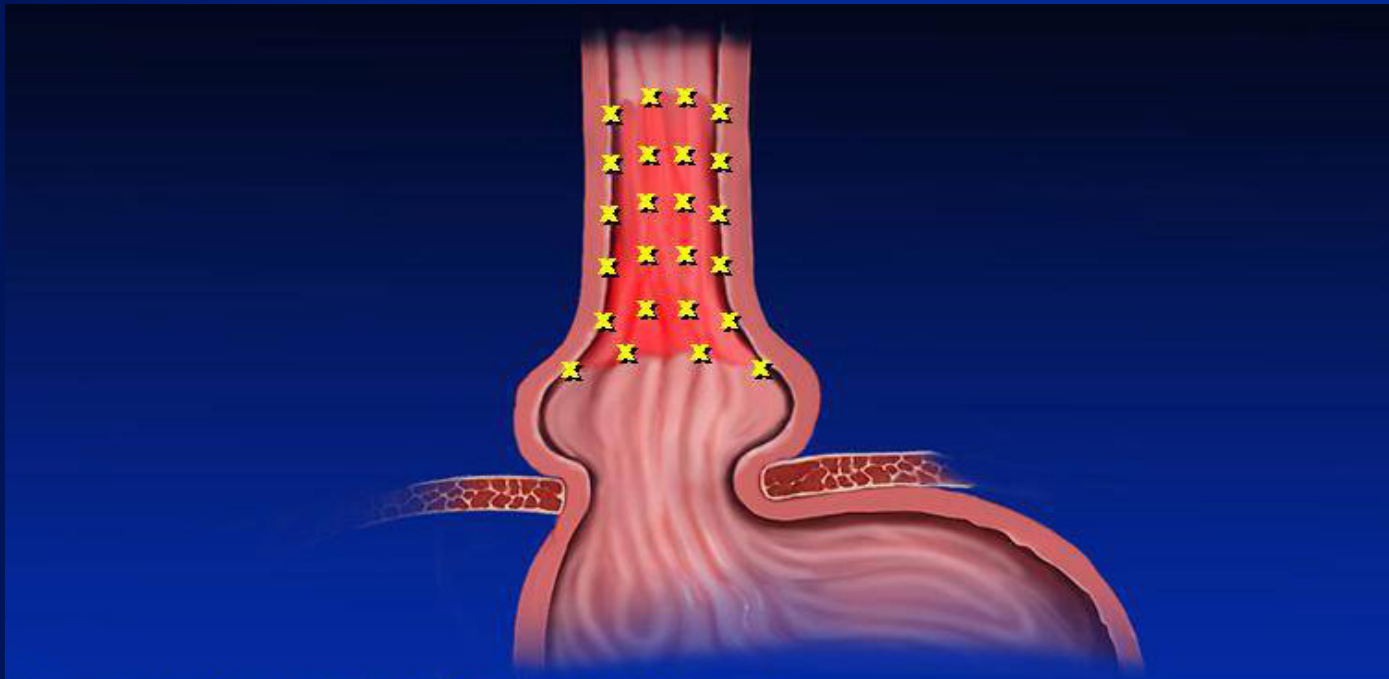
We suggest the following surveillance intervals (weak recommendation, low-quality evidence):

- No dysplasia: 3–5 years
- Low-grade dysplasia: 6–12 months
- High-grade dysplasia in the absence of eradication therapy: 3 months.



Endoscopic Surveillance of Barrett's

- Issues with surveillance
 - ~ Sampling error
 - ~ Pathologic discordance
 - ~ Poor patient compliance
 - ~ Cost-ineffective
- Surveillance does not prevent cancer
 - ~ Over 50% of those who developed HGD or cancer while undergoing surveillance did not have findings of dysplasia (*Sharma, Clin Gastro Hep, 2006*)



Impact of Surveillance on Mortality

- Case-control study, community setting
- Among 8272 members with BE, 351 cases of EAC identified
- 70 EAC cases with prior dx of BE
- 38 of the pts. died due to EAC
- Surveillance histories of cases compared with 101 controls (pts. living with BE)
- Fatal cases almost as likely to receive surveillance (55.3%) as controls (60.4%)

GASTROENTEROLOGY 2013;145:312-319

CLINICAL—ALIMENTARY TRACT

Impact of Endoscopic Surveillance on Mortality From Barrett's Esophagus-Associated Esophageal Adenocarcinomas

DOUGLAS A. CORLEY,^{1,2} KUNAL MEHTANI,² CHARLES QUESENBERY,¹ WEI ZHAO,¹ JOLANDA DE BOER,¹ and NOEL S. WEISS³

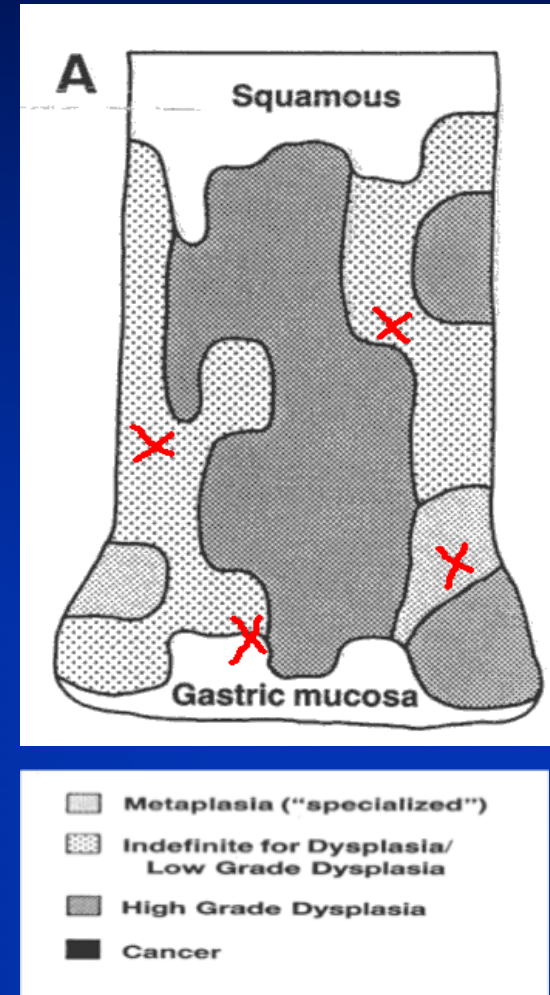
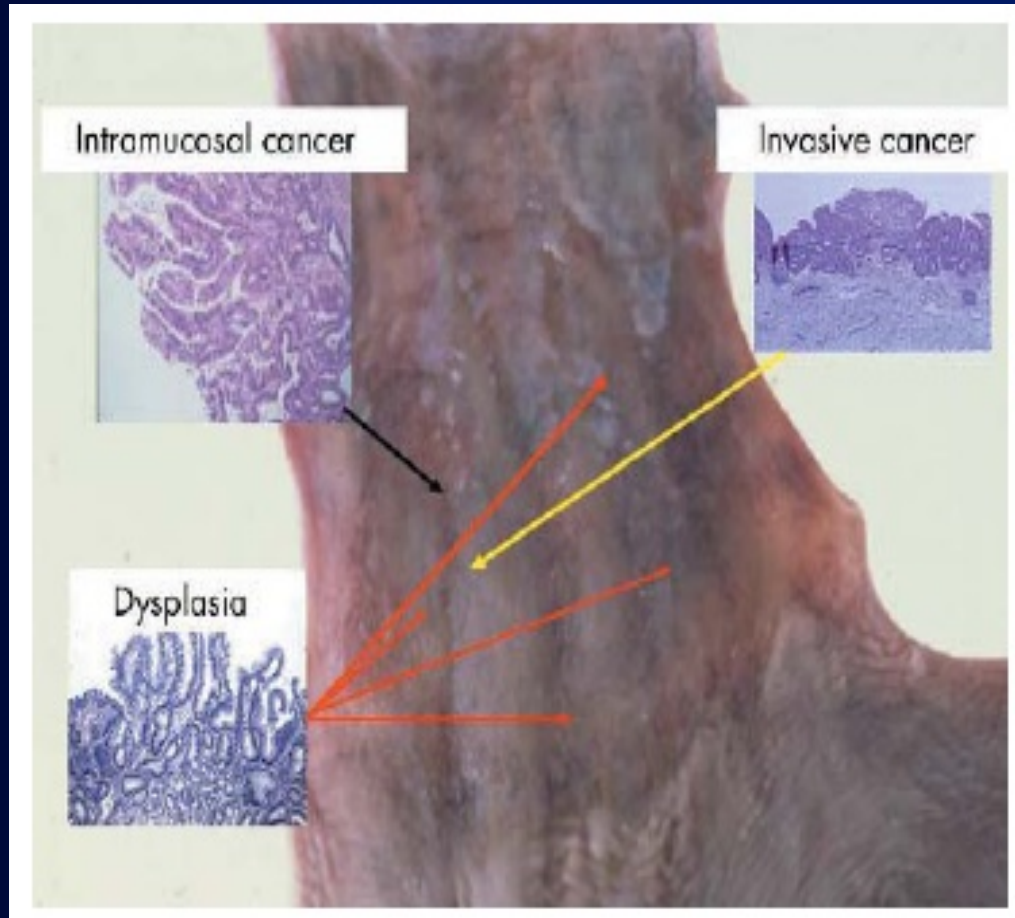
¹Division of Research, Kaiser Permanente Northern California, Oakland, California; ²Kaiser Permanente, San Francisco Medical Center, San Francisco, California; and the ³Department of Epidemiology, University of Washington, Seattle, Washington

This article has an accompanying continuing medical education activity on page e14. Learning Objective: Upon completion of these questions, successful learners will be able to assess the evidence supporting routine endoscopic surveillance of patients with Barrett's esophagus.

Conclusion: Surveillance not associated with decreased risk of death due to EAC

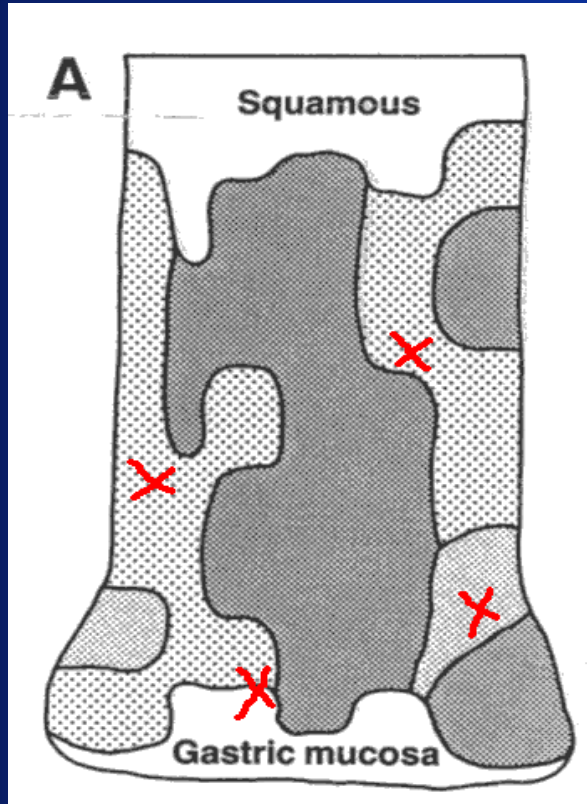
1. Corley, DA, Mehtani K, Quesenberry C, et al. Impact of Endoscopic Surveillance on Mortality From Barrett's Esophagus-Associated Esophageal Adenocarcinomas. *Gastroenterology*. 2013 May 11;145(2):312-9

Sampling Error



WATS^{3D}

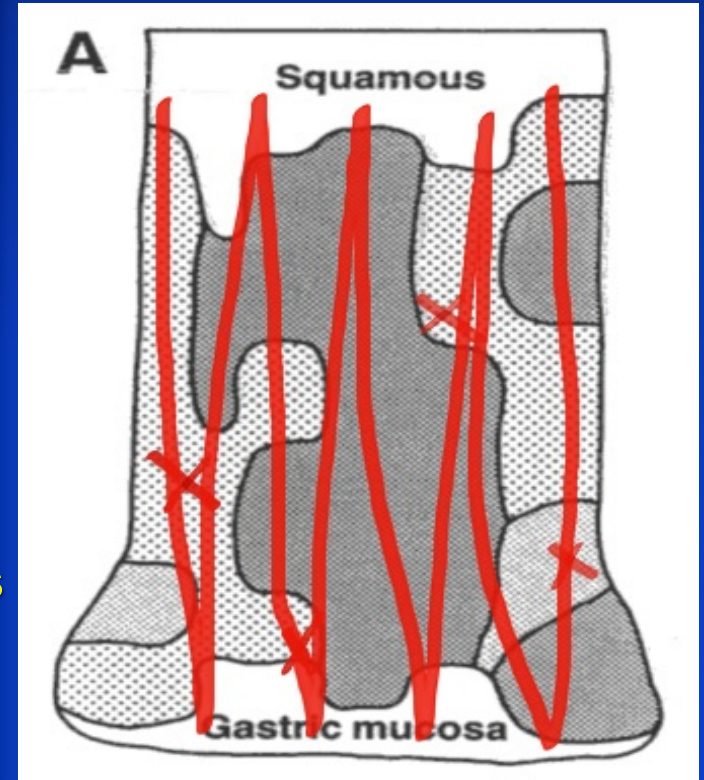
Wide Area Transepithelial Sample with 3-Dimensional Tissue Analysis



Forceps biopsy has a significant potential for sampling error

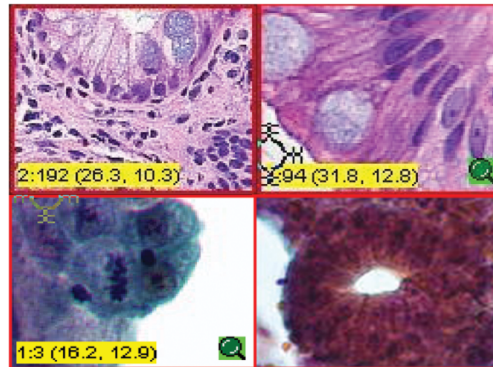
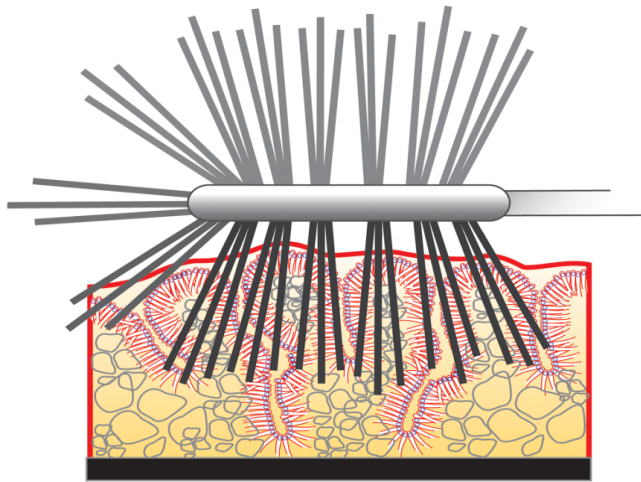


The wider surface area sampled by the transepithelial WATS biopsy addresses this problem

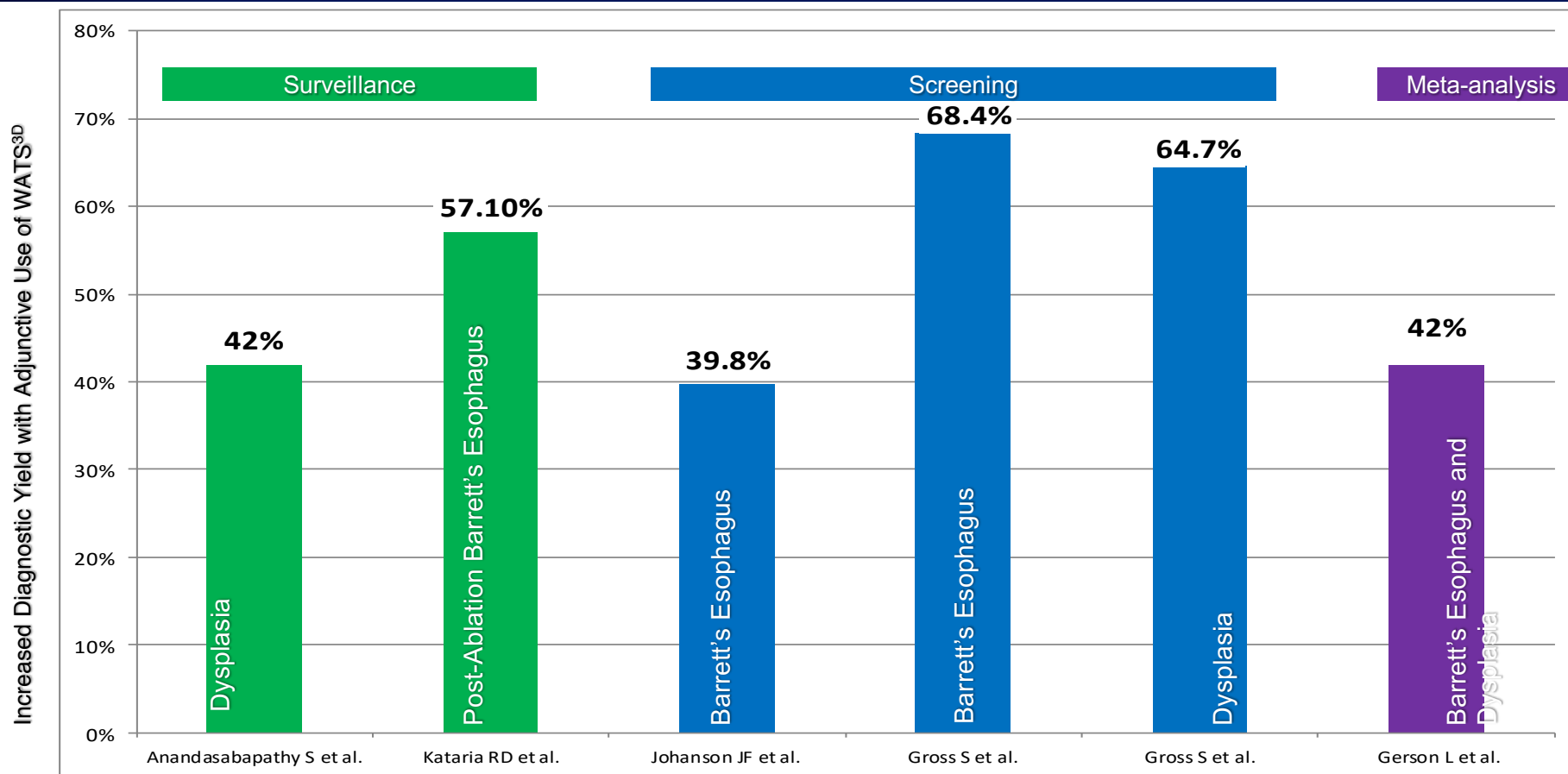


New Biopsy Brush

- EndoCDx WATS^{3D} Brush
 - ~ More abrasive
 - ~ Obtains transepithelial biopsy



Cross-Sectional Data - Added Yield of Barrett's Esophagus and Dysplasia



N = 151

N = 31

N = 1,266

N = 2,559

N = 2,559

N = 1,699

1. Anandasabapathy et al. *Dig Dis Sci*, e-pub

2. Kataria et al. American College of Gastroenterology Annual Meeting; October 11-16, 2013; San Diego, California. Abstract P23.

3. Johanson et al. *Dig Dis Sci*, e-pub

4. Gross et al. Digestive Disease Week; May 18-21, 2014; Chicago. Abstract Su1452.

5. Gerson et al. Digestive Disease Week; May 18-21, 2014; Chicago. Abstract Sa1833.

New Biopsy Brush

- EndoCDx WATS^{3D} Brush
 - ~ A very valuable tool and will likely be in the guidelines very soon
 - ~ Excellent service from the company
 - ~ No cost to the patient... currently

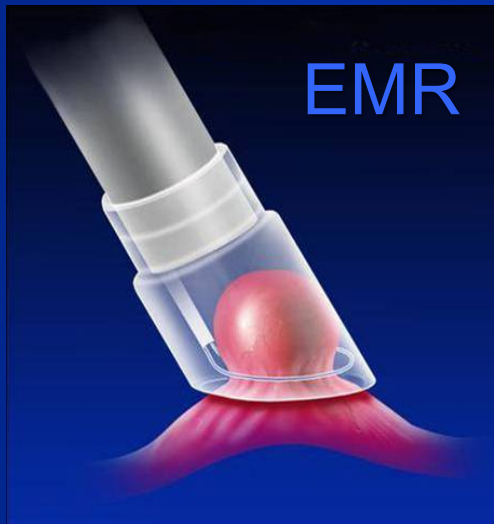
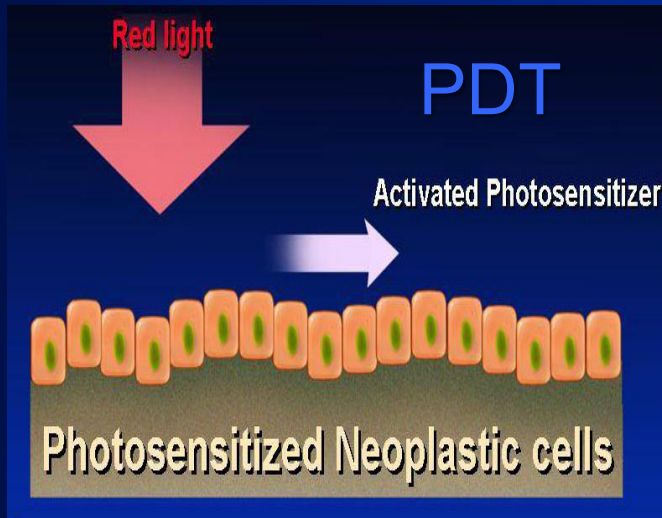
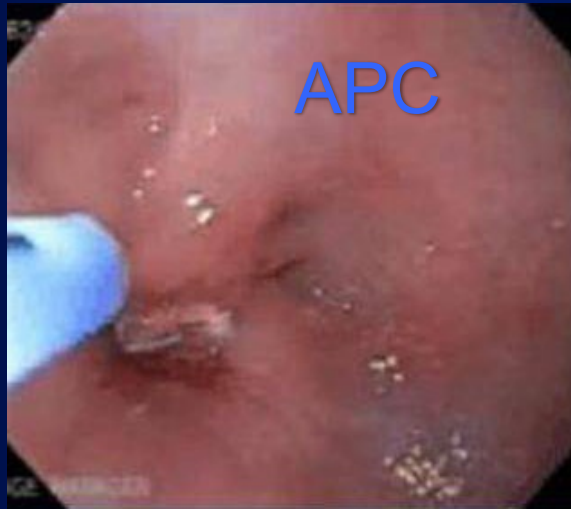


Therapy:
Endoscopic Mucosal Ablation

An ideal therapy would ...

- Completely eradicate the lesion
- Be safe & well-tolerated
- Prevent neoplastic progression
- Alter life-long surveillance

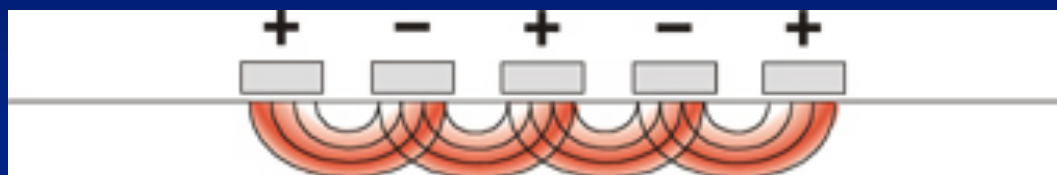
Mucosal Ablation



Radiofrequency Ablation



Proprietary Properties of RFA Lead to a Precise Ablation Depth (Mucosa-Submucosa Border)



Mechanisms

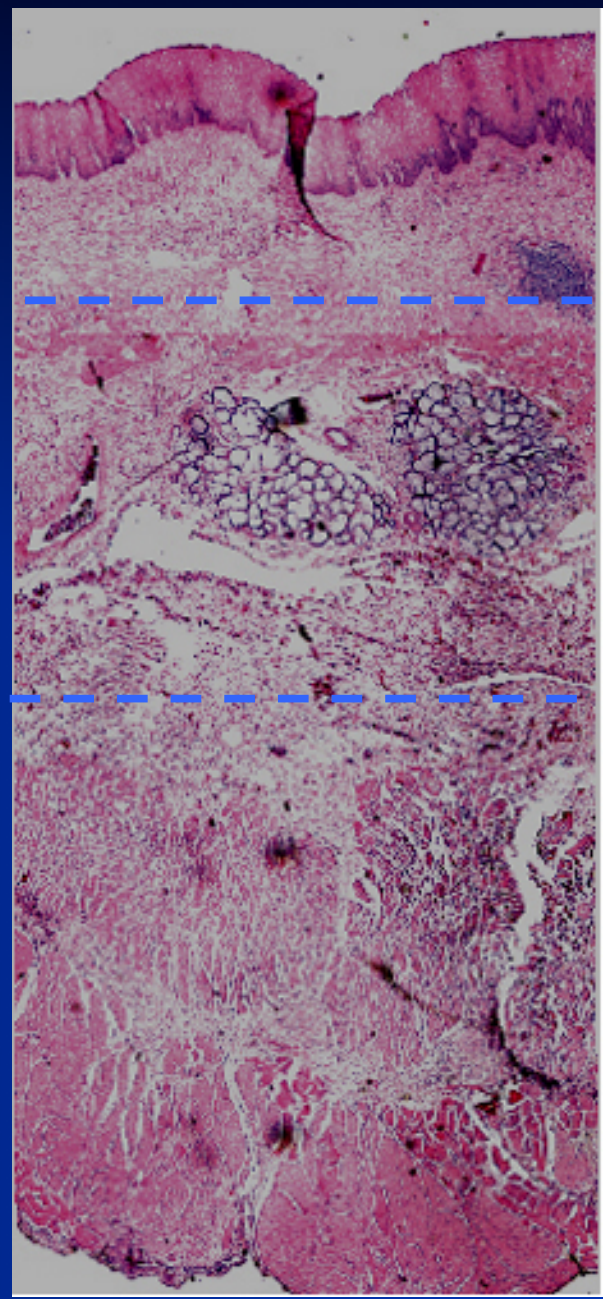
1. Tightly spaced electrodes (250 μm apart)
2. Proven pre-set energy & power densities
3. Generator turns off when a pre-determined resistance level in the ablated tissues is reached (mean of 0.3s)

Human Esophagus

Epithelium
Lamina Propria
Muscularis Mucosae

Submucosa

Muscularis Propria



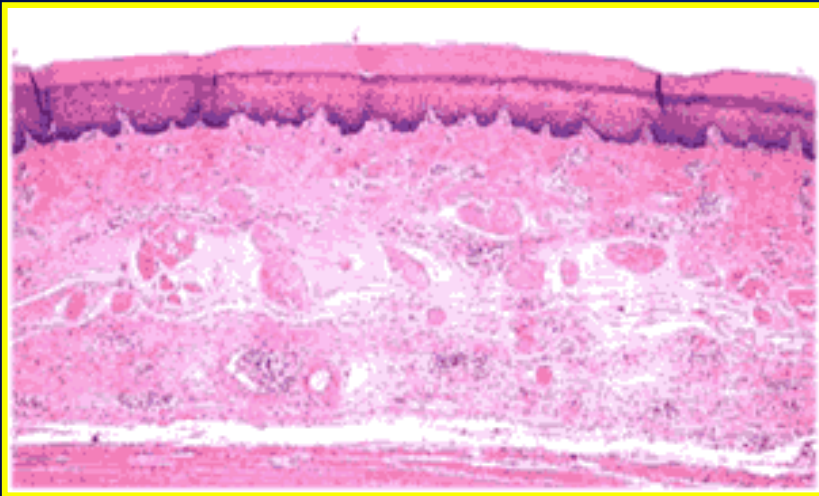
RFA Depth

PDT, APC &
Cryo Depth

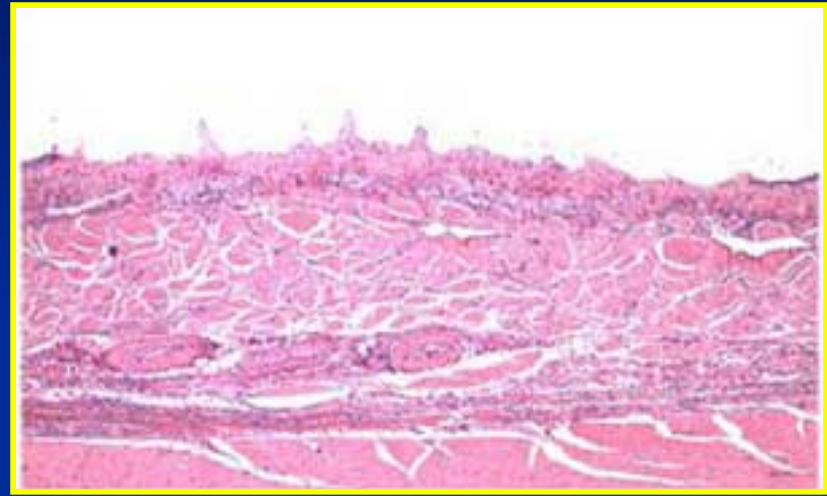
EMR Depth

Surgical
Depth

Histological Representation



Normal

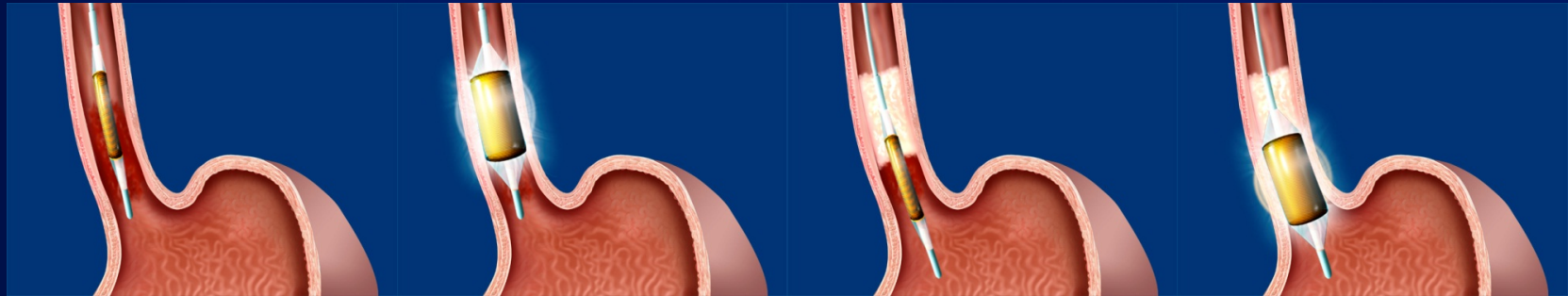


Post RF Ablation

Ablation Device Family



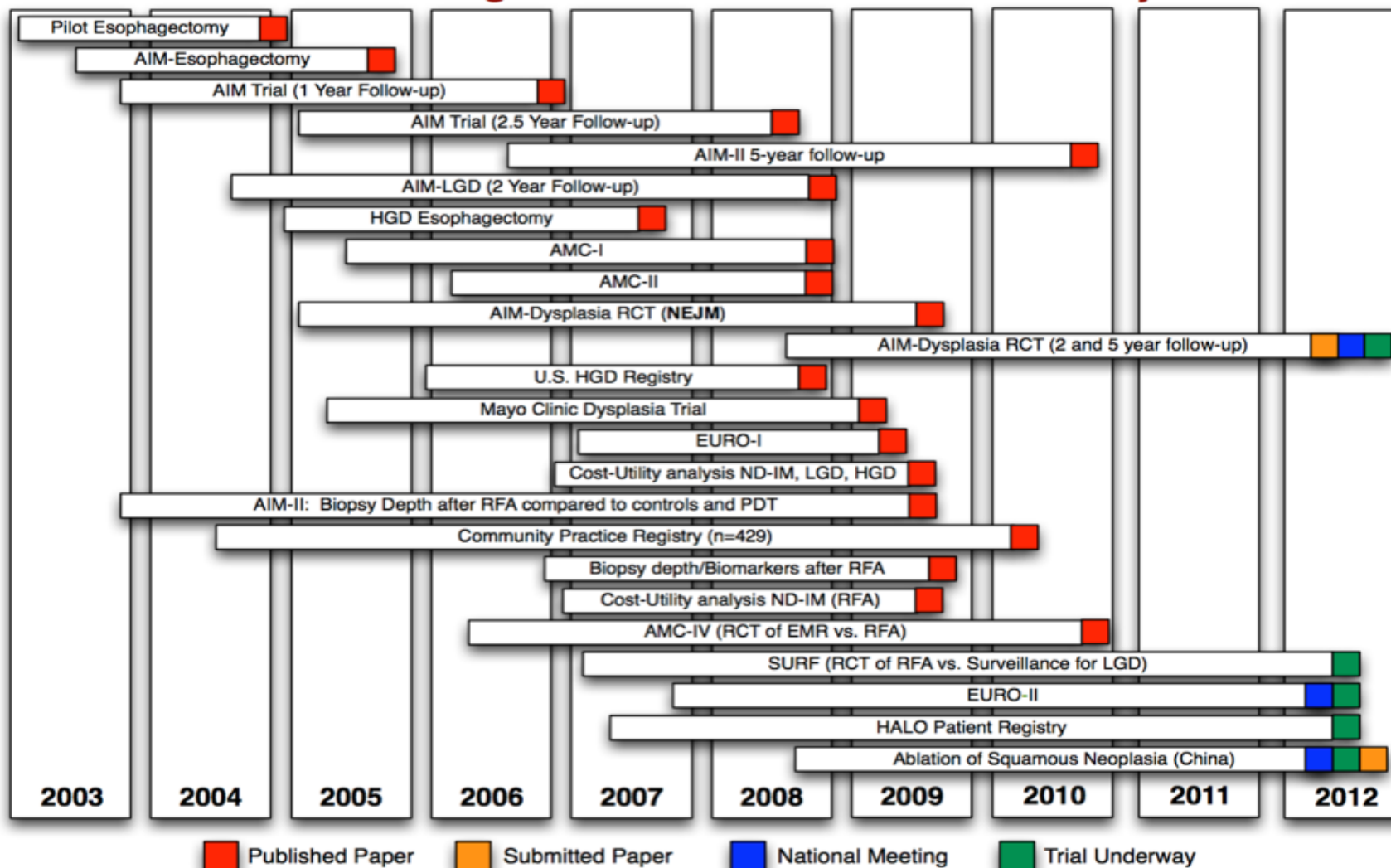
Circumferential Ablation



Focal Ablation



Clinical Trial Timeline Studies Assessing the HALO⁹⁰ and HALO³⁶⁰ Ablation Systems



RFA for Barrett's Esophagus with Dysplasia

AIM Dysplasia Trial

(Shaheen, *N Engl J Med*, 2009)

- A RCT of 127 HGD & LGD pts
- 19 US medical centers
- Pts were randomized to treatment (RFA) & sham (surveillance) arms
- A statistically significant difference was demonstrated at 1 yr for both
 - Disease eradication ($P < 0.001$)
 - Disease progression ($P < 0.05$)

The NEW ENGLAND JOURNAL of MEDICINE

ESTABLISHED IN 1812

MAY 28, 2009

VOL. 360 NO. 22

Radiofrequency Ablation in Barrett's Esophagus with Dysplasia

Nicholas J. Shaheen, M.D., M.P.H., Prateek Sharma, M.D., Bergein F. Overholt, M.D., Herbert C. Wolfsen, M.D., Richard E. Sampliner, M.D., Kenneth K. Wang, M.D., Joseph A. Galanko, Ph.D., Mary P. Bronner, M.D., John R. Goldblum, M.D., Ana E. Bennett, M.D., Blair A. Jobe, M.D., Glenn M. Eisen, M.D., M.P.H., M. Brian Fennerty, M.D., John G. Hunter, M.D., David E. Fleischer, M.D., Virender K. Sharma, M.D., Robert H. Hawes, M.D., Brenda J. Hoffman, M.D., Richard I. Rothstein, M.D., Stuart R. Gordon, M.D., Hiroshi Mashimo, M.D., Ph.D., Kenneth J. Chang, M.D., V. Raman Muthusamy, M.D., Steven A. Edmundowicz, M.D., Stuart J. Spechler, M.D., Ali A. Siddiqui, M.D., Rhonda F. Souza, M.D., Anthony Infantolino, M.D., Gary W. Falk, M.D., Michael B. Kimmey, M.D., Ryan D. Madanick, M.D., Amitabh Chak, M.D., and Charles J. Lightdale, M.D.

ABSTRACT

BACKGROUND

Barrett's esophagus, a condition of intestinal metaplasia of the esophagus, is associated with an increased risk of esophageal adenocarcinoma. The condition may progress through stages of dysplasia before cancer. We assessed whether an endoscopic intervention, radiofrequency ablation, could eradicate dysplastic Barrett's esophagus and decrease the rate of neoplastic progression.

METHODS

In a multicenter, sham-controlled trial, we randomly assigned 127 patients with dysplastic Barrett's esophagus in a 2:1 ratio to receive either radiofrequency ablation (ablation group) or a sham procedure (control group). Randomization was stratified according to the grade of dysplasia (low-grade or high-grade) and the length of Barrett's esophagus (<4 cm or 4 to 8 cm). Primary outcomes at 12 months included the complete eradication of dysplasia and intestinal metaplasia. Secondary outcomes included progression to more severe dysplasia or cancer and adverse events.

RESULTS

In the intention-to-treat analyses, among patients with low-grade dysplasia, complete eradication of dysplasia occurred in 90.5% of those in the ablation group, as compared with 22.7% of those in the control group ($P < 0.001$). Among patients with high-grade dysplasia, complete eradication occurred in 81.0% of those in the ablation group, as compared with 19.0% of those in the control group ($P < 0.001$). Overall, 77.4% of patients in the ablation group had complete eradication of intestinal metaplasia, as compared with 2.3% of those in the control group ($P < 0.001$). Patients in the ablation group had less disease progression (3.6% vs. 16.3%, $P = 0.03$) and fewer cancers (1.2% vs. 9.3%, $P = 0.045$). Patients reported having more chest pain after the ablation procedure than after the sham procedure. In the ablation group, one patient had upper gastrointestinal hemorrhage, and five (6.0%) patients had esophageal stricture.

CONCLUSIONS

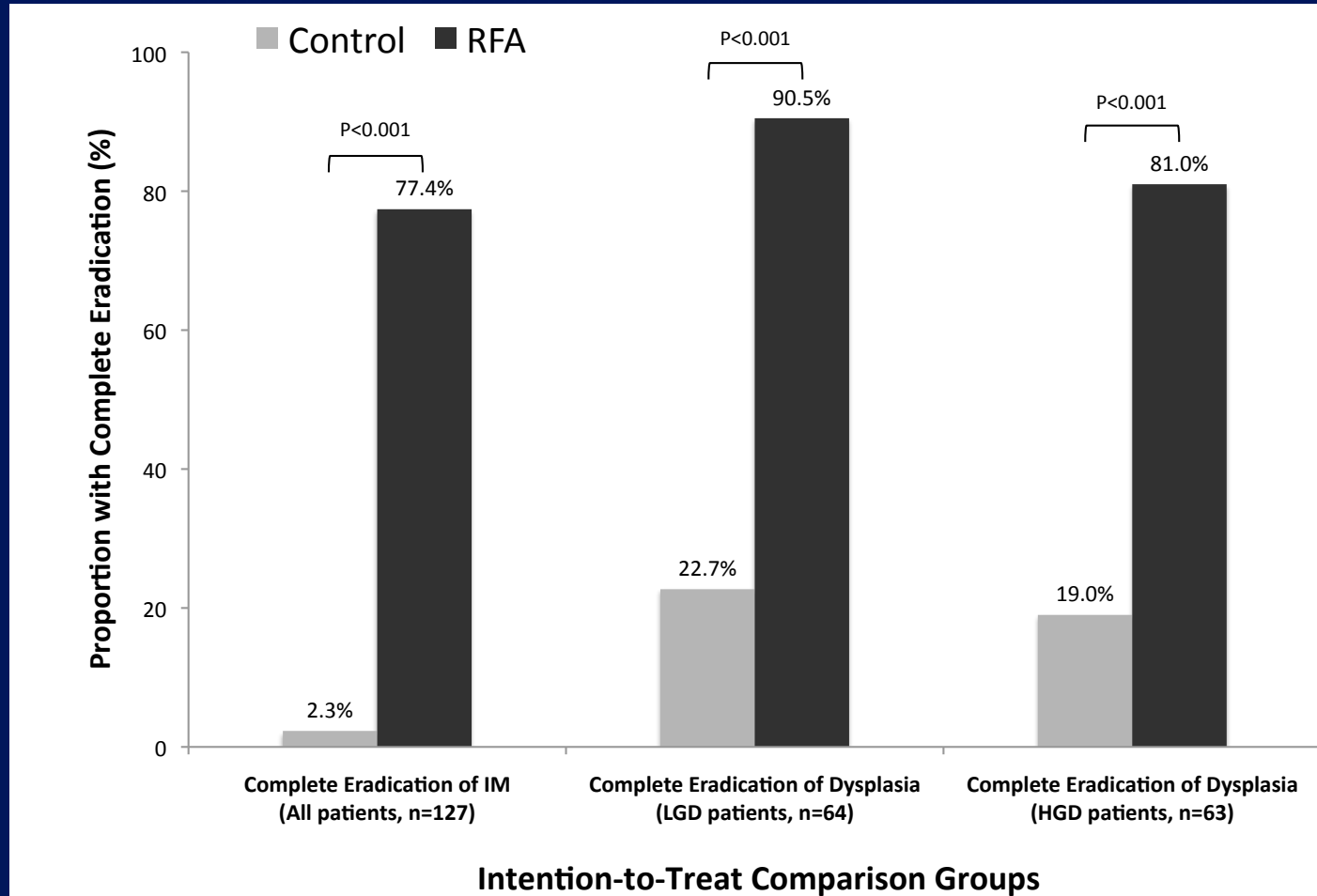
In patients with dysplastic Barrett's esophagus, radiofrequency ablation was associated with a high rate of complete eradication of both dysplasia and intestinal metaplasia and a reduced risk of disease progression. (ClinicalTrials.gov number, NCT00282672.)

N ENGL J MED 360:22 NEMJ.ORG MAY 28, 2009

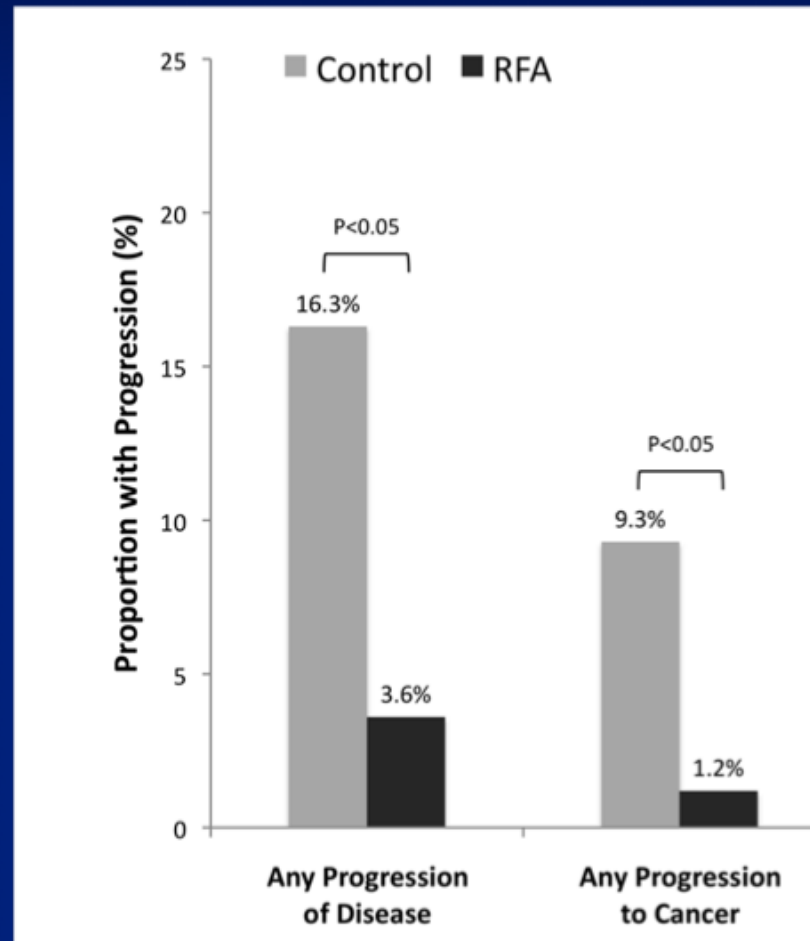
The authors' affiliations are listed in the Appendix. Address reprint requests to Dr. Shaheen at the Center for Esophageal Diseases and Swallowing, University of North Carolina School of Medicine, CB 7080, Chapel Hill, NC 27599-7080, or at nshaheen@med.unc.edu.

N Engl J Med 2009;360:xxx-xx.
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Disease Eradication



Disease Progression



RFA Reduces Progression in Confirmed Low-Grade Dysplasia

SURF Trial, Phoa, JAMA, 2014

- European multicenter RCT of 136 confirmed LGD pts
- Pts randomized 1:1 to treatment (RFA) and control (surveillance) arms
- Complete eradication (CE) at 1 year:
RFA: 88% CEIM, 93% CED
Control: 0% CEIM, 28% CED (p<0.001)
- After median **36 mos** follow-up: **26.5%** of controls progressed to HGD/EAC vs. **1.5%** after RFA (p<0.001)
8.8% of controls progressed to EAC vs. **1.5%** after RFA (p<0.03)
- Study terminated secondary to superiority of RFA and patient safety concerns should the trial continue

Phoa K, van Vlieteren FJ, Weusten BW, et al. Radiofrequency Ablation vs Endoscopic Surveillance for Patients With Barrett Esophagus and Low-Grade Dysplasia: A Randomized Clinical Trial. *JAMA* 2014;311:1209-1217.

Trial funded by Covidien, GI Solutions

Research

Original Investigation

Radiofrequency Ablation vs Endoscopic Surveillance for Patients With Barrett Esophagus and Low-Grade Dysplasia: A Randomized Clinical Trial

K. Nadine Phoa, MD; Frederike G. L. van Vlieteren, MD; Bas L. A. M. Weusten, MD; Raf Bisschops, MD; Erik J. Schoon, MD; Krish Raganath, MD; Grant Fullerton, MD; Massimiliano Di Pietro, MD; Narayanasamy Ravu, MD; Mike Visser, MD; G. Johan Offerhaus, MD; Gees A. Sijdehrijk, MD; Sybren L. Meijer, MD; Rabo J. W. ten Kate, MD; Jan G. P. Tjissen, PhD; Jacques J. G. H. M. Bergman, MD, PhD

IMPORTANCE Barrett esophagus containing low-grade dysplasia is associated with an increased risk of developing esophageal adenocarcinoma, a cancer with a rapidly increasing incidence in the western world.

OBJECTIVE To investigate whether endoscopic radiofrequency ablation could decrease the rate of neoplastic progression.

DESIGN, SETTING, AND PARTICIPANTS Multicenter randomized clinical trial that enrolled 136 patients with a confirmed diagnosis of Barrett esophagus containing low-grade dysplasia at 9 European sites between June 2007 and June 2011. Patient follow-up ended May 2013.

INTERVENTIONS Eligible patients were randomly assigned in a 1:1 ratio to either endoscopic treatment with radiofrequency ablation (ablation) or endoscopic surveillance (control). Ablation was performed with the balloon device for circumferential ablation of the esophagus or the focal device for targeted ablation, with a maximum of 5 sessions allowed.

MAIN RESULTS AND MEASURES The primary outcome was neoplastic progression to high-grade dysplasia or adenocarcinoma during a 3-year follow-up since randomization. Secondary outcomes were complete eradication of dysplasia and intestinal metaplasia and adverse events.

RESULTS Sixty-eight patients were randomized to receive ablation and 68 to receive control. Ablation reduced the risk of progression to high-grade dysplasia or adenocarcinoma by 25.0% (1.5% for ablation vs 26.5% for control; 95% CI, 14.1%-35.9%; $P < .001$) and the risk of progression to adenocarcinoma by 74% (1.5% for ablation vs 8.8% for control; 95% CI, 0%-14.7%; $P = .03$). Among patients in the ablation group, complete eradication occurred in 92.6% for dysplasia and 88.2% for intestinal metaplasia compared with 27.9% for dysplasia and 0.0% for intestinal metaplasia among patients in the control group ($P < .001$). Treatment-related adverse events occurred in 19.1% of patients receiving ablation ($P < .001$). The most common adverse event was stricture, occurring in 9 patients receiving ablation (11.8%), all resolved by endoscopic dilation (median, 1 session). The data and safety monitoring board recommended early termination of the trial due to superiority of ablation for the primary outcome and the potential for patient safety issues if the trial continued.

CONCLUSIONS AND RELEVANCE In this randomized trial of patients with Barrett esophagus and a confirmed diagnosis of low-grade dysplasia, radiofrequency ablation resulted in a reduced risk of neoplastic progression over 3 years of follow-up.

TRIAL REGISTRATION trialregister.nl Identifier: NTR1198

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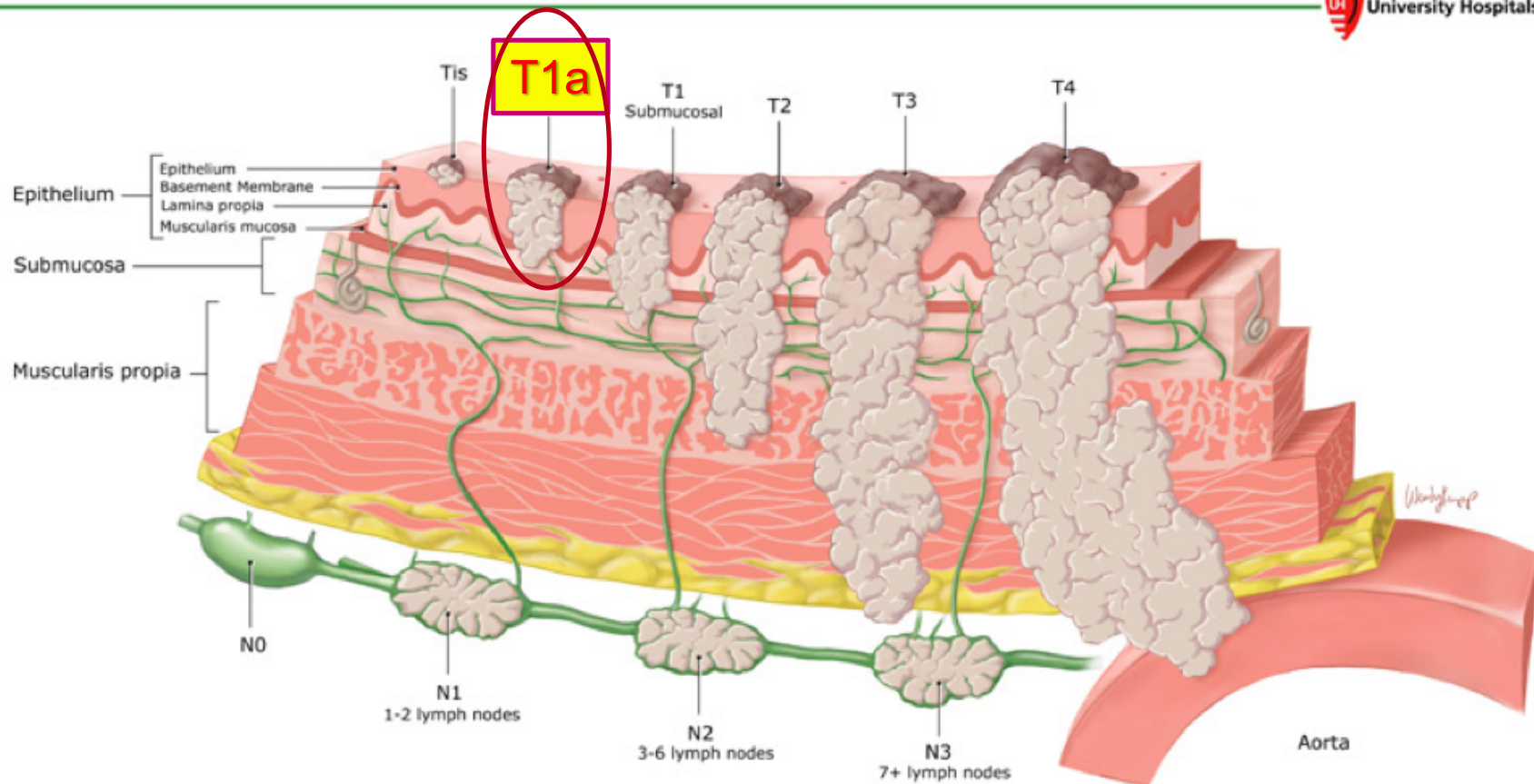
Editorial page 1205
CME Quiz at jamanetwork.com and CME Questions page 1247

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Endoscopic Management of Early Esophageal Cancer

Endoscopic Management of Early Esophageal Cancer

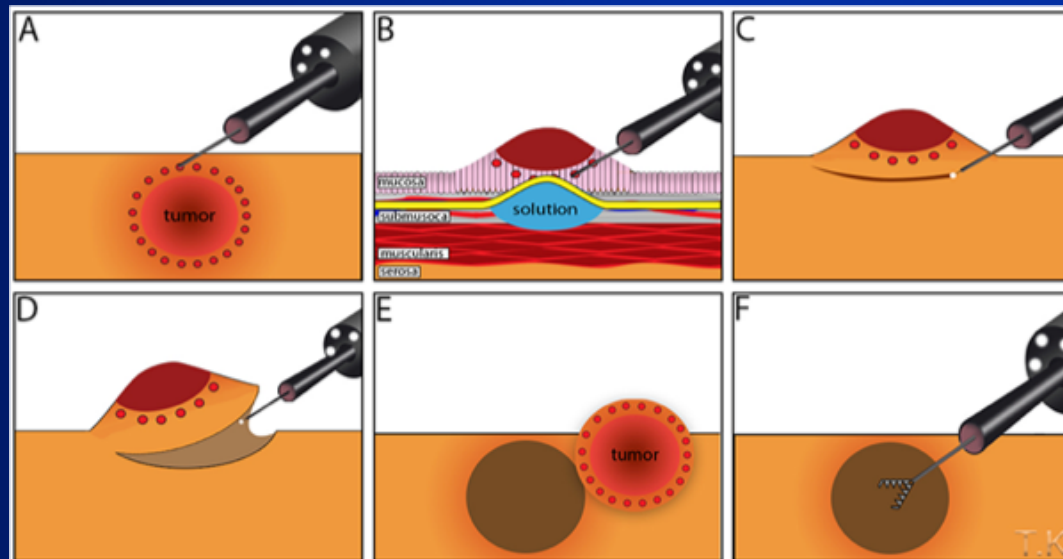
Esophageal Cancer Staging



Endoscopic Management of Early Esophageal Cancer (EMR and ESD)



ESD



Endoscopic Resection vs Esophagectomy¹

ARTICLE IN PRESS

Zehetner et al

General Thoracic Surgery

Endoscopic resection and ablation versus esophagectomy for high-grade dysplasia and intramucosal adenocarcinoma

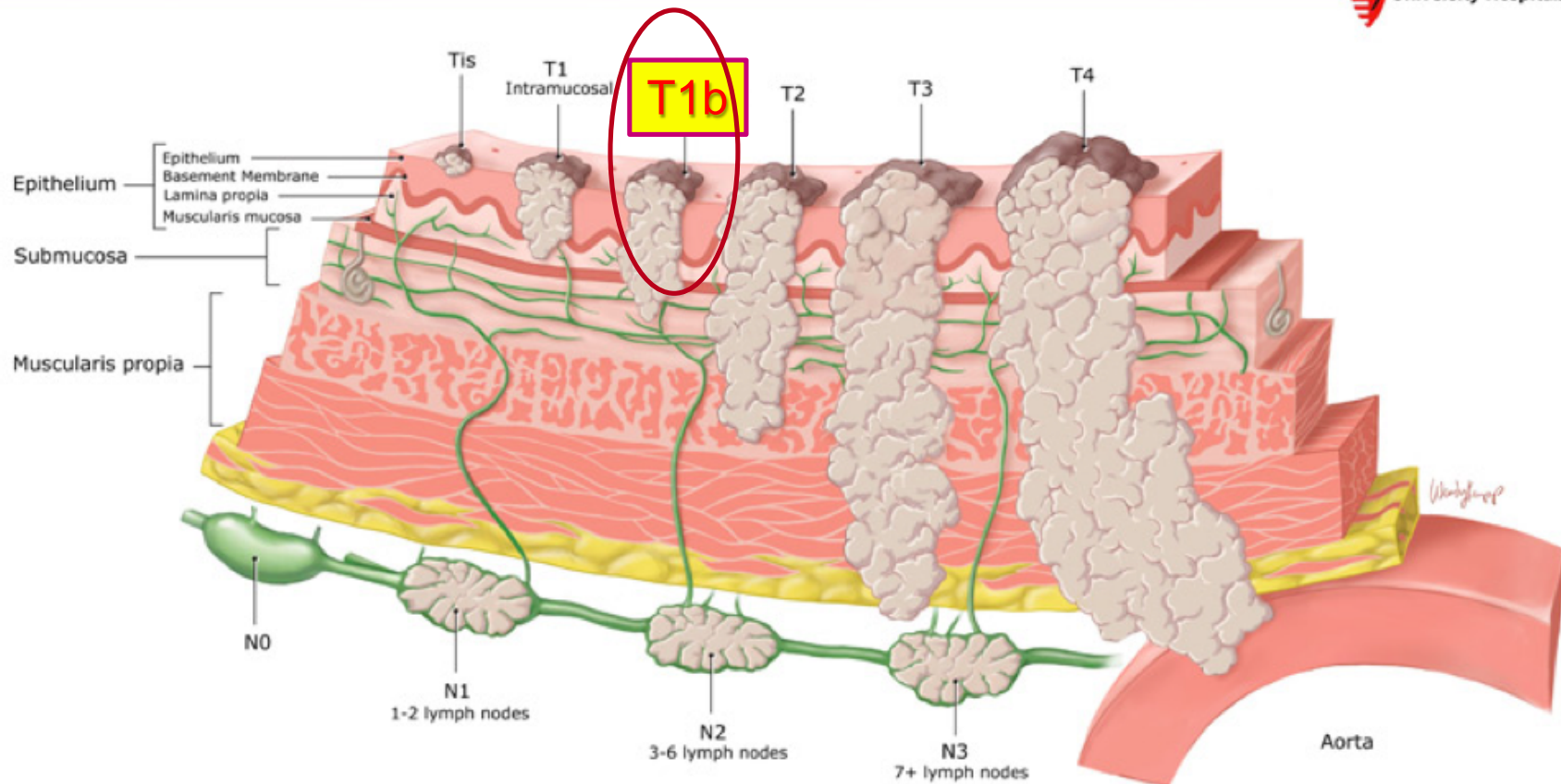
Jörg Zehetner, MD, Steven R. DeMeester, MD, Jeffrey A. Hagen, MD, Shahin Ayazi, MD, Florian Augustin, MD, John C. Lipham, MD, and Tom R. DeMeester, MD

- Compares outcomes of endoscopic therapy (ER + RFA) vs esophagectomy in HGD and T1a
- Retrospective review 2001 – 2010
- Endotherapy: n = 40
- Esophagectomy: n = 61
- Compared with esophagectomy, endotherapy was associated with:
 - ~ Lower morbidity (39% vs 0%, $p < .0001$)
 - ~ Similar survival (94% at 3 years for both groups)
- Endotherapy for HGD/T1a has similar survival but decreased morbidity vs esophagectomy

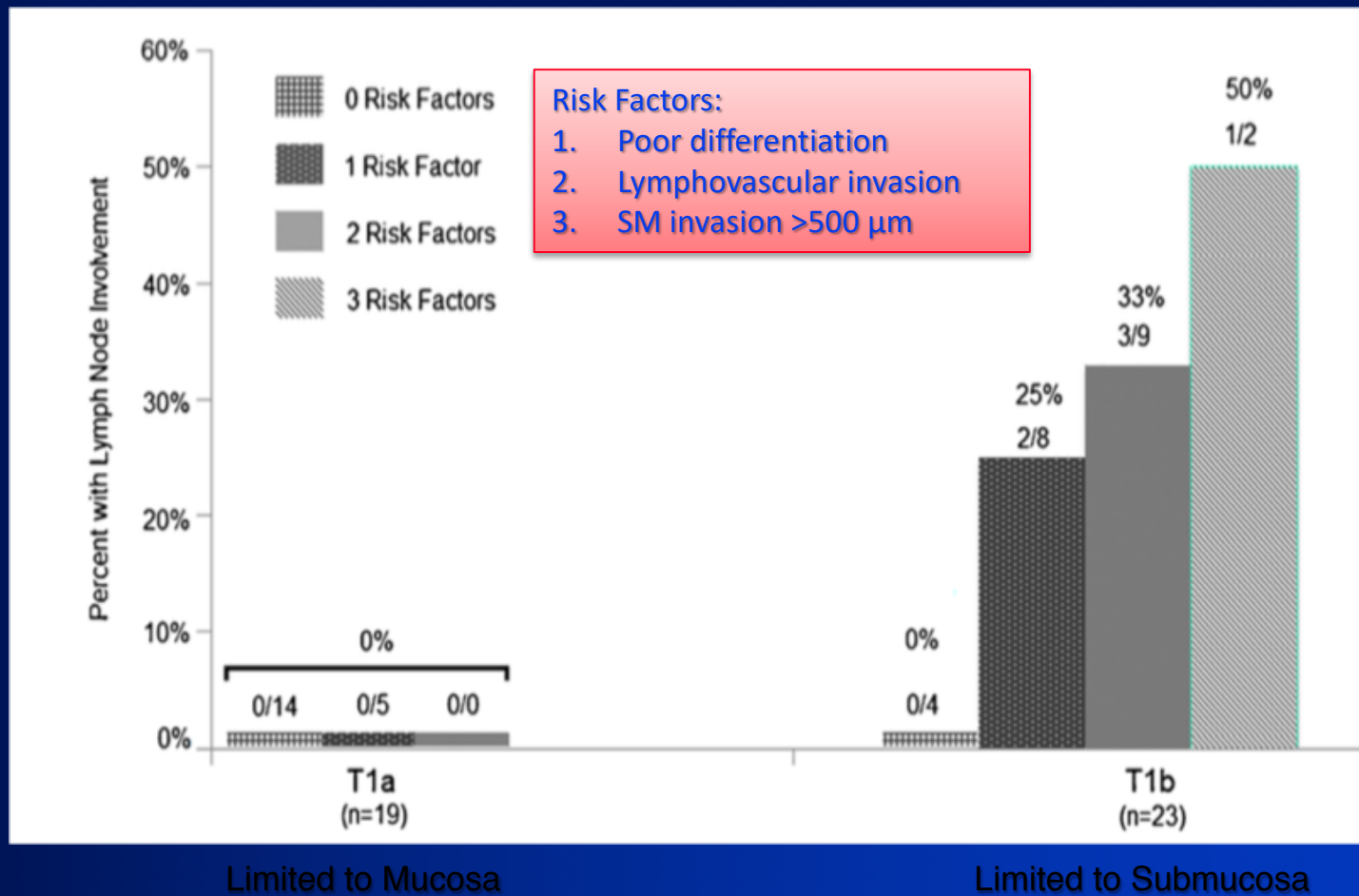
1. Zehetner J, Demeester SR, Hagen JA, et al. Endoscopic resection and ablation versus esophagectomy for high-grade dysplasia and intramucosal adenocarcinoma. J Thorac Cardiovasc Surg 2011;141:39-47.

Endoscopic Management of Early Esophageal Cancer

Esophageal Cancer Staging



Risk of Lymph Node Mets for T1a and T1b Cancer



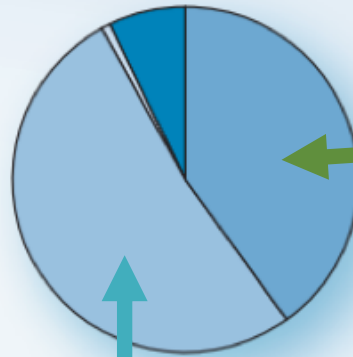
Disease Treatment Summary

Stage of Disease	Recommendations
Non-dysplastic Barrett's	Surveillance* (or Ablation in select individuals)
Low Grade Dysplasia (confirmed)	Endoscopic Ablation*
High Grade Dysplasia	Endoscopic Eradication*
T1a, some T1b	Endoscopic Resection (EMR and ESD)
T1b, T2, T3	Surgery

*AGA 2011 Guidelines, ACG 2015 Guidelines

Endoscopy is missing the BIG PICTURE

~10,000 per year
Of ~10,000
oesophageal
adenocarcinomas
diagnosed each year
in the USA, only
7% are identified through
current approaches to cancer control



40%

Without GERD
No Endoscopy

52%

Some GERD Hx
No Endoscopy

Diagnosed after Alarm
symptoms developed

> 50% Advanced
Disease that will need
Surgery

Non-invasive screening coming soon

Acceptability and accuracy of a non-endoscopic screening test for Barrett's oesophagus in primary care: cohort study



Cytosponge

Cytosponge Screening





Thank you!
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